

New Balance

Restricted Substances Manual

Version 9.0

New Balance Athletic Shoe Inc.

January 2014



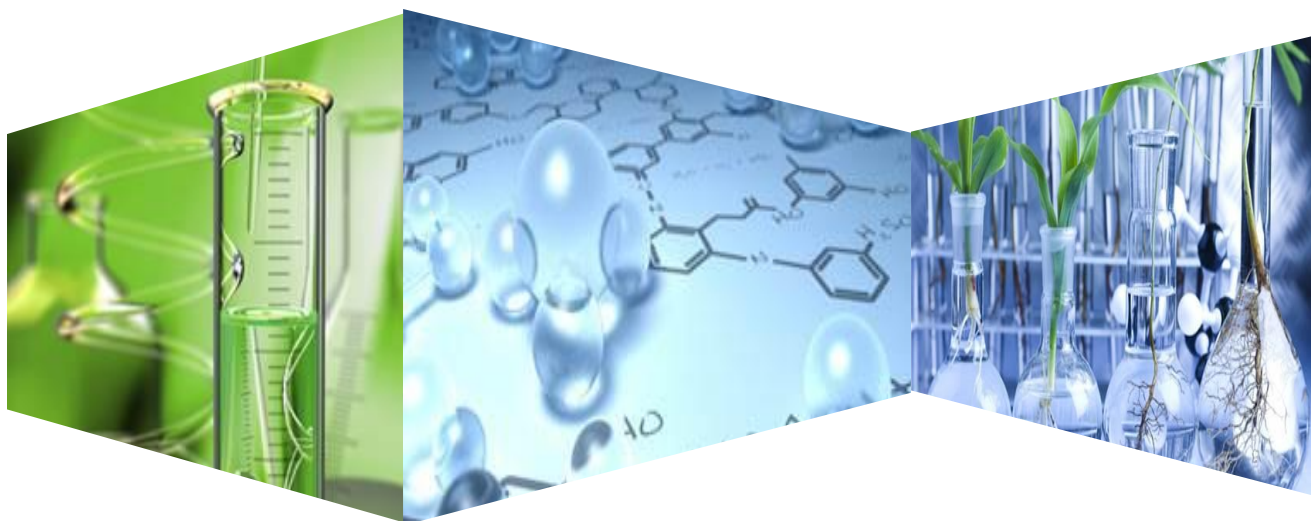
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Use link to verify most current version of the Manual:

<http://www.newbalance.com/restrictedsubstanceprogram>

This Manual contains confidential information intended only for use by New Balance Athletic Shoe Inc., its affiliates and their approved suppliers.





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Dear Suppliers,

New Balance Athletic Shoe Inc., and its affiliates (collectively “New Balance” or “NB”) is committed to operating its business in an environmentally sustainable manner to protect the consumer, worker, environment, and the brand. **This New Balance Restricted Substances Manual (RSM)**, effective as of April 1, 2014, is an integral part of this commitment. The compliance guidelines are intended to help users understand and comply with the RSM. The RSM must be shared with all suppliers (both factories producing finished products and suppliers of raw materials and components) of products used in New Balance footwear, apparel, equipment, and accessories.

Each supplier is required to understand, agree to, comply with, and declare that the raw materials, component parts, chemicals, and sundries used and supplied or otherwise delivered to New Balance comply with the prohibitions, limitations and other provisions described or referred to in the RSM.

The goals of New Balance Restricted Substances Manual are:

1. To ensure that materials provided and methods used in manufacturing New Balance products comply with the strictest global legislation with regards to environment, health, and product safety.
2. To prohibit or limit the use of all targeted substances in the RSM in all New Balance products
3. To encourage its suppliers to take a **proactive** stance in decreasing the environmental impacts of all products supplied to New Balance by:
 - Ensuring materials and components are non-toxic in use and disposal,
 - Using materials in manufacturing products which do not involve toxic releases or damage to the environment,
 - Strive to make materials from renewable and organic resources that are recyclable or biodegradable, and
 - Manufacture product components and materials under the best environmental conditions.

Thank you for your cooperation in ensuring that New Balance products are compliant with the RSM requirements.

Sincerely,

Dave Crosier
Exec. V.P. Value Chain

Doug Hellyar
COO Warrior Sports, Inc.

Paul Gauron
Exec. V.P. General Counsel

Joe Preston
Exec. V.P. Footwear and Apparel

Duncan Scott
V.P External Product

Christine Madigan
V.P. Corporate Responsibility



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Table of Acronyms

BV	Bureau Veritas
CAR	Corrective Action Request Form
CAS	Chemical Abstract Service
CIL	Chemical Inventory List
COA	Certificate of Acknowledgement
CPSIA	Consumer Product Safety Improvement Act
EEE	Electronic and Electrical Equipment
EU	European Union
MDL	Method Detection Limit
MSDS	Material Safety Data Sheet
MRSL	Manufacturing Restricted Substances List
NA	Not Applicable
NB	New Balance Athletic Shoe Inc. and affiliates
OM	NB Factory Operations Manager
PCT	Product Chemistry and Compliance Team
PD	NB Product Development Team
PDL	NB Product Development Lead
PDS	NB Product Development Specialist
POC	Point of Contact
PPM	Parts Per Million
REACH	Registration, Evaluation, Authorization and Restriction of Chemical substances
RSM	New Balance Restricted Substances Manual
RS	Restricted Substance
RSL	New Balance Restricted Substances List
SOP	Standard Operating Procedure
SVHC	Substance of Very High Concern
TRF	Test Request Form
QTR	Quarter

Corporate Requirements

1. RSM Compliance Timeframe

The New Balance Restricted Substances Manual (RSM) 9.0 will apply to all production orders manufactured from April 1, 2014 to the later of March 31, 2015 or the effective date of Version 10.0 of this Manual. Compliance with the standards contained in the RSM is **mandatory** for all New Balance products. The New Balance Restricted Substance Manual Version 8.0 (January 2013) will remain in effect through March 31, 2014.

2. NB Supplier Certificate of Acknowledgement

All NB suppliers will be required to complete, sign and submit to NB a Certificate of Acknowledgement (COA), see Appendix 1. The COA is to be completed by a senior executive or manager. All fields must be completed without altering the document in any way. A signed COA is required in order to be an approved supplier to NB.

NB uses the COA to track receipt of the RSM and the supplier's commitment to comply with all requirements of the RSL for all materials supplied and used in all New Balance products. A COA is required whenever a new version of the RSM is issued. In the event of failure to comply with the RSM requirements, New Balance reserves the right to terminate all outstanding orders and cease doing future business with the supplier.

The signed COA constitutes a supplier's understanding and agreement of its responsibilities regarding compliance. The signed COA must be sent to the New Balance Product Chemistry and Compliance Team (PCT) contacts specified on the COA within two weeks of receipt of the Manual. Failure to sign the COA shall not relieve a supplier from the requirements of this Manual.

3. Responsibilities

On an annual basis, the RSM will be updated by NB. Updates typically will occur in January and are effective after March 31st. It is the responsibility of the supplier to review and comply with all updates to the RSM. A signed COA confirms the supplier acknowledgement, understanding, compliance, and responsibilities under the RSM.

The supplier shall also allow, or as the case may be, obtain permission for an authorized representative of NB to inspect, at any time during normal business hours, any premises of the factory, supplier, and/or any subcontractor where any New Balance products or materials or components thereof are developed, manufactured, or stored. The authorized representative may request samples of products or materials during such inspection.

Suppliers must ensure all materials, components, and packaging materials used for New Balance products meet the RSM requirements. The materials must be tested according to the RSM to ensure compliance.

Suppliers are responsible for the production of New Balance products to meet the RSM requirements. Their manufacturing processes must comply with the requirements related to substances banned or limited by NB in production as defined in the Manufacturing Restricted Substances List (MRSL) (see Section 4 under the New Balance Restricted Substances List). In the cases where substances are found that are banned or restricted in New Balance products, the supplier is held liable for all loss and damage suffered by NB. NB reserves the right to reject products and materials that may contain, or may have come in contact with substances that are banned or restricted for use by the RSM.

4. Undue Influence

To support our commitment to product integrity, NB has maintained a long standing Product Testing Program. Testing our products helps keep customers safe and maintains NB's reputation as a company consumers can trust. For the testing program to be effective, testing must be conducted in independent laboratories free of *undue influence* over test results. Undue influence takes place when the laboratory or an individual is manipulated, deceived, or coerced to alter or affect test results in violation of product requirements or established testing procedure. Undue influence may be based directly or indirectly on the promise of giving or taking away business. **Undue influence is against New Balance's policies and may be a basis for New Balance terminating a supplier.**

5. New Balance Product Chemistry and Compliance Team Contacts

Contact	Region	Contact Email	RSL Group
Tex Moseri	Global	Tex.Moseri@newbalance.com	All Product Groups
Gregory Montello	Americas/EMEA	Gregory.Montello@newbalance.com	All Product Groups
Lucy Zeng	Asia	Lucy.Zeng@cn.newbalance.com	All Product Groups
Nick Zhang	Asia	Nick.Zhang@warrior.com	Equipment Only

New Balance Implementation, Testing, & Audit Requirements

NB expects that all products will comply with the RSM, and may request testing be conducted at any manufacturing stage including development, production, and/or finished products. The testing may be part of a routine testing schedule or random selection of samples. In order to accomplish the goal of producing a New Balance compliant product, NB expects that suppliers will:

- Test the items that New Balance identifies
- Test items for further understanding of their production processes, chemistries, and product content

1. Testing Methodology

NB selects materials for RSL testing based on a number of factors. The chart below outlines NB classes of suppliers and the general frequency of testing samples. Suppliers and/or materials considered high risk are tested at a higher rate and frequency than certified suppliers of low risk materials and colors. NB requires testing of 30% of all material orders each quarter for all suppliers with previously failed test records regardless of the supplier's status.

The list below identifies the key elements of NB's testing methodology:

- A. Supplier History and Compliance Performance
- B. Material Type: Special category materials such as woven, non-woven, knitting, suede, or coated materials are tested at a higher rate.
- C. Material Color: High risk material colors include: black , red , brown , navy , yellow , orange , beige , green, grey , purple, fluorescents, and metallic colors. High risk material colors are tested at a higher rate.
- D. Material Treatment: Treated materials such as water repellant, antimicrobial, paints, and prints are tested at higher rates.

Materials selected for New Balance finished products are all subject to RSL testing and must be approved by the PCT before the specification and design can proceed to the factories for production. Each quarter, the PCT will review a list of materials under consideration for a design. For example, NB will test five percent (5%) of the total number of materials listed for RSL approval by a certified supplier and thirty percent (30%) of the total number of materials for a new or high risk supplier.

Supplier Status	Scorecard	Definition	Testing Sample
Certified Supplier	≥90	RSL certified supplier with a comprehensive internal RS control system and high management commitment	5% or 4 sets/year
Low Risk Supplier	≥80 or <90	Supplier waiting for NB audits, likely to be improved to a Certified level	5-10% or 1-2 sets/Qtr
Medium Risk Supplier	≥60 or <80	Supplier lacking certain elements for the Low Risk level	10-15% or 2-3 sets/Qtr
High Risk Supplier	<60	Supplier un-willing or incapable to improve on RS management capabilities. Partnership under revaluation.	30%/Qtr
New Supplier	NA	Supplier used for the first time in production	30%/Qtr

2. RSL Approval Timeframe

All RSL test results expire on the first anniversary of the test completion date.

3. New Balance Initiated Routine Testing

Each quarter and/or season, NB will identify a list of all production quality materials by color and/or finished products that must be tested at its approved RSL testing laboratory.

Suppliers shall promptly provide samples of pre-produced, unfinished or finished materials/products requested for testing to the laboratories. Samples should be sent with a completed Test Request Form (Appendix 2-4) with the required RSL testing package or combination selected. NB only accepts test reports conducted to its RSL standards at a laboratory that has been audited and approved by NB. Suppliers will be expected to pay for this testing.

In the event of an RSL failure, a Corrective Action Request Form or CAR (Appendix 5-7) must be completed by the supplier. NB expects an investigation into the source of the failure. The details of the investigation should be reported on the CAR and sent to the assigned NB PCT representative for approval. At a minimum it must contain information on the source of the failure, actions taken to quarantine current inventory and shipped products (if any), action taken to prevent the failure in the future, project manager information, and acknowledgement that these changes will be implemented for all future orders. Please see further instruction outlined in the CAR. NB reserves the rights set forth in the RSM and agreements with the supplier in the event of a failure.

4. Random Testing

NB reserves the right to randomly select and test products at any stage of production. NB will pay for this testing which is in addition to the routine quarterly testing. Any failures will be discussed with suppliers in an attempt to discover and correct the cause using the CAR. The supplier will be responsible to pay for any material that fails the

RSL random testing, costs associated with any product recalls, quarantine of failed materials, and logistics of collecting and returning failed products. NB reserves its other rights set forth in the RSM and agreements with the supplier in the event of a failure.

5. Supplier Initiated Testing

Suppliers are encouraged to conduct internal tests to better understand their processes and assure conformity with the RSM. Suppliers are encouraged to use the appropriate RSL Test Request Form (Appendix 2-4) provided for any supplier initiated testing.

6. Testing Failure Notification Process

A failing test report will initiate the NB Testing Failure Notification Process.

Material Quarterly RS Testing Failure: Initiates the CAR. The supplier, Production Development Specialist (PDS), Production Development Lead (PDL), and NB Factory Operations Manager (OM) are notified of the failure and the current CAR status.

Production Material, Whole Shoe RS, or CPSIA Testing Failure: Initiates further investigation of the shoe factory and the 3rd party laboratory via correlation testing. Positive correlation testing will result in RS testing approval. Negative correlation testing will initiate the CAR process.

CAR: Corrective Action Requests are designed to assist suppliers in determining the root cause of testing failures. The outcome of a supplier's CAR process will ultimately determine if NB will approve a previously failed material. If it is determined that NB cannot approve the material, failure notifications are sent to the PDS, PDL, and OM.

Approved Laboratories

Ensuring that only high quality and safe products are produced, NB relies on the quality and authenticity of testing data from approved laboratories that have been audited and approved by NB. New Balance product groups are assigned to specific laboratories and locations for RSL testing as described below.

Product Group	Laboratory
Footwear	Bureau Veritas (BV)
Apparel & Accessories	BV & SGS
Equipment	SGS
Other Categories	BV

1. NB Laboratory Approval Process for New Laboratories

The NB laboratory approval process is a three-step program designed to ensure that New Balance products are tested by laboratories capable of generating consistent and accurate testing data. The process is as follows:

- A. Pre-Audit Preparation: The pre-audit preparation requires the laboratory to complete various forms confirming the appropriate accreditations and competences.

- B. On-Site Laboratory Evaluation (Lab Audit): The on-site laboratory evaluation includes a tour of the facilities, document review, process demonstration, sample verification, and personnel evaluations.
- C. NB Final Evaluation: The final step of the approval process is the evaluation of all materials and results collected during the pre-audit and laboratory evaluation. The laboratory is notified of all findings during the evaluation.

2. Approved Laboratory Locations

The table below identifies the laboratories and locations that have been approved for RSL testing. The list is subject to change without notice. Please check with your designated NB PCT contact for changes to approved laboratories and locations.

New Balance Approved Laboratories				
Name	Location	Country	POC	Contact Information
Bureau Veritas				
Bureau Veritas Consumer Products Services (Guangzhou) Co., Ltd	Block B, Mei Lin Plaza, No. 183 Shi Nan Road, Dong Chong, Panyu, Guangzhou, Guangdong Province	China	Li Chun Qin	T: (86) 20 22902088 Ext 367 F: (86) 20 34909303 E: lichun.qin@cn.bureauveritas.com
Bureau Veritas Consumer Products Services (Shanghai)	1/F,#5 Building, No.168 Guangzhou Road, Zhuangqiao Town, Minhang, Shanghai China 201108	China	Ring Lin	T: (86)21- 2408 1758 F: (86) 21- 64892395 E: ring.lin@cn.bureauveritas.com
Bureau Veritas Consumer Products Services Germany	Wilhelm – Hennemann - Str. 8 D-19061 Schwerin	Germany	Torsten Schuldt	T: (49) 40 74041 1349 F: (49) 40 74041 1499 E: Torsten.Schuldt@de.bureauveritas.com
Bureau Veritas Hong Kong Limited	1/F, Pacific Trade Centre 2 Kai Hing Road, Kowloon Bay, Kowloon	Hong Kong	Frankie Chan	T: (852) 2331 0756 F:(852) 2331 0889 E: nb.bvcpsenquiry.hk@hk.bureauveritas.com
Bureau Veritas Consumer Products Services (India) Pvt. Ltd,	AKR Tech Park, Ground floor, C Block, Survey no 112, Krishna Reddy Ind. Area, 7th Mile Hosur Road, BANGALORE – 560068	India	Pradipta Kumar S. Balasubramanian	T: (91) 80 40701 500 F: (91) 80 40701 655 E:pradipta.kumar@in.bureauveritas.com E: balasubramani.s@in.bureauveritas.com
Bureau Veritas Consumer Products Services (India) Pvt. Ltd	79/51 MRD Complex, Nesavalur Colony, P.N.Road, Opp.Bharath Petroleum Bunk Tirupur -641 602	India	N.Kanagaraj	T: (91) 421- 4308 135 F: (91) 421- 4308 106 E: kanagaraj.n@in.bureauveritas.com
Bureau Veritas Consumer Products Services (India) Pvt. Ltd	C-19, Sector-7, Noida-201301	India	Parul Gupta Ramesh Kumar	T: (91) 120 4768 164 F: (91) 120 2424 880 E: parul.gupta@in.bureauveritas.com E: ramesh.kumar@in.bureauveritas.com
Bureau Veritas Consumer Products Services (Pte) Ltd., Singapore Branch	11 Ubi Road 1, Meiban Industrial Building, #07-01, Singapore 408723	Singapore	Er Huey Sin	T: (65) 6283 8366 F: (65) 6283 8966 E: huey-sin.er@sg.bureauveritas.com
Bureau Veritas Consumer Products Services (H.K.) Ltd., Taiwan Branch	No.37, Zhongyang S. Rd., Sec. 2, Beitou, Taipei 112, Taiwan	Taiwan	Ali Ou	T: +886-28905-264 F: +886-2-2895-8779 E:ali.ou@tw.bureauveritas.com E: chemical.inquiry@tw.bureauveritas.com
Bureau Veritas Consumer Products Services, Inc, USA	100 Northpointe Parkway Buffalo, New York 14228, USA	USA	Stephen Galbo	T: 1 800 277 3300 or (716) 505 3300 F: (716) 505 3301 E: stephen.galbo@us.bureauveritas.com
Bureau Veritas Consumer Products Services Vietnam Ltd	Lot C7-C9, Conurbation 2,Cat Lai II IZ, District 2, HCMC , Vietnam	Vietnam	Judy YangChristine Le	T: (84) 8-37421 604~6 F: (84) 8-37421 603 E: christine.le@vn.bureauveritas.com E: judy.jang@vn.bureauveritas.com
SGS				

New Balance Approved Laboratories				
Name	Location	Country	POC	Contact Information
SGS Guangzhou	198 Kezhu Road, Sciencetech Park, Guangzhou Economic & Technology Development District, Guangzhou, Guangdong, China, 510663	China	Heath Xu	T : (86) 20 8215 5621 F : (86) 20 8207 5169 E : heath.xu@sgs.com
SGS Shanghai	4 th Floor, Building 4, No. 889 Yishan Road, Xuhui District, Shanghai 200233, China	China	Sunny Yan	T : (86) 21 6107 2904 F : (86) 21 6495 8763 E : sunny.yan@sgs.com
SGS Hong Kong	Office: 5/F, Manhattan Centre, 8 Kwai Cheong Road, Kwai Chung, NT, Hong Kong Lab: (Samples sent to) 4/F On Wui Centre, 25 Lok Yip Road, Fanling, N.T., Hong Kong, China	Hong Kong	Sarah Wang	T : (852) 2204 8348 F : (852) 2334 8752 E : sarah-sh.wang@sgs.com
SGS India	BNT Connections Building Opposite to State Bank of India, 28 B/1 (SP), 28 B/2 (SP), Second Main Road, Ambattur Industrial Estate, Chennai – 600058.	India	Anitha Jeyaraj	T : (91) 44 6608 1601 F : (91) 44 2496 3099 E : anitha.jeyaraj@sgs.com
SGS Korea	#322, The O Valley Bldg. 555-9, Hoge-dong, Dongan-gu, Anyang-si, Gyeonggi-do, Anyang, Korea, 431-080	Korea	Soowoong Jeong	T : (82) (0)31 460 8051 F : (82) (0)31 460 8029 E : soowoong.jeong@sgs.com
SGS Philippine (CPSIA Only)	2 nd Floor Algeria Building, 2229 Chino Roces Avenue, 1231 Makati City, Philippines	Philippines	Ditas Rodriguez	T : (632) 784 9400 Ext: 827 F : (632) 818 2971 E : Ditas.Rodriguez@sgs.com
SGS Taiwan – Kaohsiung (For Footwear)	No. 61, Kai-Fa Rd, Nanzih Export Processing Zone, Kaohsiung, Taiwan 81170	Taiwan	Janny Lin	T : (886) 7301 2121 ext: 4102 F : (886) 7301 0867 E : janny.lin@sgs.com
SGS Taiwan – Taipei (For Textile)	31, Wu Chyuan Road, New Taipei Industrial Park, New Taipei City, Taiwan 24886	Taiwan	Kurt Wu	T : (886) 2 2299 3279 # 5220 F : (886) 2 2298 4060 E : kurt.wu@sgs.com
SGS Turkey	İş İstanbul Plaza Bağlar Mah. Osmanpaşa Cad. No:95 E Girişi, Güneşli 34209 İstanbul, Turkey	Turkey	Ayşe Çimen Ayşe Çalışkan	T : (90-212) 368 4000 F : (90-212) 296 4782 E : Ayse.Cimen@sgs.com E : ayse.caliskan@sgs.com
SGS U.S.A	291 Fairfield Avenue Fairfield, New Jersey 07004 USA	USA	Nevine Noss	T : (973) 4617945 F : (973) 5757175 E : nevine.noss@sgs.com
SGS Vietnam	Lot III/21, 19/5A Street, Industrial Group III, Tan Binh Industrial Zone, Tay Thanh Ward, Tan Phu District, Ho Chi Minh City, Vietnam	Vietnam	Trinh Vu	T : (84-8) 3816 0999 Ext: 119 F : (84-8) 3816 0996 E : trinh.vu@sgs.com
PT. SGS Multi-Lab Indonesia (Approved for CPSIA testing)	Jl. Cilandak KKO (Commercial Estate) No. 108-C, South Jakarta	Indonesia	Eka Kurniati Ellya Santhy	T : (62) 21 781 8111 ext 720 F : (62) 21 780 7919 E : Eka.kurniati@sgs.com E : ellya.santhy@sgs.com

3. Laboratory Responsibility

The expected responsibilities of NB approved laboratories include:

- A. Training all technicians in the requirements and limits of the current RSM
- B. Test reports are consistent and conform to the NB test reporting format. Test reports that are not consistent and do not conform to the NB test reporting format are considered invalid. At a minimum NB test reports should contain the following:
 - Digital photographs of materials/components or products submitted for testing
 - Summary of tests performed with results by component tested

- NB material number and style number for each NB specified material (if available)
- Product category and description
- C. Test evaluations use the following:
 - “PASS”: Meets all NB RSL test requirements for the required product category tests
 - “FAIL”: Does not meet some or all of NB RSL test requirements for the required product category tests
 - “Adult Only”: Fails children’s limits for RSL test but passed all other limits
- D. Test reports are entered into the NB RSM database. A PDF format of the test report should be emailed to the:
 - New Balance Report Channel (NB PCT email distribution list)
 - Applicant
 - Relevant factory (if applicable)
- E. Hard copies of all test reports and invoices are sent to the applicant only
- F. Following all agreed upon pricing between NB and approved testing laboratories

4. Annual Audit Program for NB Approved Laboratories

The Annual Audit Program for NB approved laboratories is performed to focus on the laboratory’s continued compliance with NB requirements and continued improvement on testing capabilities.

By following the specified protocol, the audit starts with a pre-audit meeting between the NB auditor and laboratory staff in which the auditor discusses the purpose of the audit, the audit schedule, the inspection areas, and the procedures that will be followed. The pre-audit meeting may include a brief tour of the laboratory. The audit is then conducted. The audit findings are assembled by the NB auditor at the conclusion of the audit. These findings are then discussed with the laboratory staff in a post-audit meeting. A written lab audit report is sent to the laboratory within a specified time. The laboratory responds to the deficiencies in the audit report. The need for follow-up action is then determined based on the laboratory’s responses.

5. Correlation Test for 3rd Party Testing Laboratories

Correlation test will be conducted at least once per year by the NB PCT team to evaluate and verify the accuracy, consistency and reliability of testing performed by NB approved laboratories. The steps of the correlation testing are as follows:

- NB approved laboratories and other 3rd party testing laboratories are selected for correlation testing
- Samples with failed data will be selected by NB PCT team and sent to assigned laboratories
- Assigned laboratories shall perform the test with NB required testing methods
- Result will be analyzed with Z-value statistical methods and then evaluated with performance rate

Good	-1 < Z-score < 1
Satisfactory	-2 < Z-score < -1 or 1 < Z-score < 2
Questionable	-3 < Z-score < -2 or 2 < Z-score < 3
Unsatisfactory	Z-score < -3 or 3 < Z-score

- Assigned laboratories shall perform a CAR on the tests that result in a rating of “Questionable” or “Unsatisfactory” and complete the improvement within 3 months

- A laboratory with the rating of “Unsatisfactory” will be temporarily suspended from performing testing on NB products until NB approves the CAR
- A laboratory will be disapproved if the CAR leads to future failures or an on-site audit failure (if necessary)

New Balance Restricted Substances List (RSL)

The RSL requirements reflect global regulation and legislation throughout the world. Because of NB’s worldwide footprint, all New Balance products must comply with the applicable RSL requirements.

- **Chemical Abstract Service # (CAS#):** A unique numeric identifier designated to one substance by the CAS registry
- **Restricted Substance:** Substance being limited/restricted for use
- **NB Maximum Limit:** Maximum allowable limit of the substance allowed in the finished products/components
- **Laboratory Method Detect Limit (MDL):** Lowest concentration of the substance the laboratory can detect during testing
- **Test Method:** NB approved testing method
- **Manufacturing:** Applies to the factories manufacturing finished products e.g. footwear, apparel, equipment and accessories.

Suppliers must refer to the table RSLs to determine that their products are in compliance with the NB Maximum Limits for the restricted substances listed.

1. NB Finished Product RSL

The NB Finished Product RSL applies to all NB products, including footwear, apparel, equipment, and accessories.

New Balance Finished Product RSL						
CAS No.	Substance	NB Limit (Adult)	NB Limit (Children: 0-12 yrs)	Regulation	Test Methods	Lab MDL
AP & APEO (Limits listed are for both AP and APEO)						
Various	NP (Nonylphenol)	AP: 100 mg/kg APEO: 100 mg/kg		EU REACH Regulation (EC) No 1907/2006 Annex XVII	THF/Methanol extraction, ACN precipitation and analysis by GC-MS or LC- MS	AP: 10mg/kg; APEO: 50mg/kg
Various	OP (Octylphenol)					
Various	OPEO (Octylphenol ethoxylates)					
Various	NPEO (Nonylphenols ethoxylates)					
Asbestos						
12001-28-4	Crocidolite	Prohibited		EU REACH Regulation (EC) No 1907/2006 Annex XVII	Microscopic examination; minimum magnification 1- 250, polarized light filter attached; ratio of fiber length to diameter is at least 3:1	1 % for each
12001-29-5	Chrysotile					
12172-73-5	Amosite					
77536-66-4	Actinolite					
77536-67-5	Anthrophyllite					
77536-68-6	Tremolite					
Bisphenol A						
80-05-7	Bisphenol A	Not detected (1 mg/kg)		EU Regulations; US States Legislations	DCM/Acetone/ACN or THF/ACN/ACN: Water extraction, analysis by LC- MS.	1 mg/kg
Chromium (VI)						
18540-29-9	Cr (VI)	Not Detected (3 mg/kg))		German BGVO; Korea Regulations	EN ISO 17075:2007	3 mg/kg
Dimethyl Fumarate (DMFU)						
624-49-7	Dimethyl Fumarate (DMFu)	Prohibited		EU REACH Regulation (EC) No 1907/2006; Korea Regulations	Extract with Organic solvent, and analysis by GC-MS.	0.1 mg/kg
Dioxins & Furans						
Group 1						
1746-01-6	2,3,7,8-Tetrachlorodibenzo-p-dioxin (Group 1)	Sum: 1 µg/kg		German ChemVerbots	US EPA 8290	0.1 µg/kg per item listed for each Dioxin and Furan
40321-76-4	1,2,3,7,8-Pentachlorodibenzo-p-dioxin (Group 1)					
51207-31-9	2,3,7,8-Tetrachlorodibenzofuran (Group 1)					
57117-31-4	2,3,4,7,8-Pentachlorodibenzofuran (Group 1)					

New Balance Finished Product RSL						
CAS No.	Substance	NB Limit (Adult)	NB Limit (Children: 0-12 yrs)	Regulation	Test Methods	Lab MDL
Group 2						
19408-74-3	1,2,3,7,8,9-Hexachlorodibenzo-p-dioxin (Group 2)	Sum of Group 1 & 2: 5 µg/kg		German ChemVerbots	US EPA 8290	0.1 µg/kg per item listed for each Dioxin and Furan
39227-28-6	1,2,3,4,7,8-Hexachlorodibenzo-p-dioxin (Group 2)					
57117-41-6	1,2,3,7,8-Pentachlorodibenzofuran (Group 2)					
57117-44-9	1,2,3,6,7,8-Hexachlorodibenzofuran (Group 2)					
57653-85-7	1,2,3,6,7,8-Hexachlorodibenzo-p-dioxin (Group 2)					
60851-34-5	2,3,4,6,7,8-Hexachlorodibenzofuran (Group 2)					
70648-26-9	1,2,3,4,7,8-Hexachlorodibenzofuran (Group 2)					
72918-21-9	1,2,3,7,8,9-Hexachlorodibenzofuran (Group 2)					
Group 3						
3268-87-9	1,2,3,4,6,7,8,9-Octachlorodibenzo-p-dioxin (Group 3)	Sum of Group 1, 2 & 3: 100 µg/kg		German ChemVerbots	US EPA 8290	0.1 µg/kg per item listed for each Dioxin and Furan
35822-46-9	1,2,3,4,6,7,8-Heptachlorodibenzo-p-dioxin (Group 3)					
39001-02-0	1,2,3,4,6,7,8,9-Octachlorodibenzofuran(Group 3)					
55673-89-7	1,2,3,4,7,8,9-Heptachlorodibenzofuran (Group 3)					
67562-39-4	1,2,3,4,6,7,8-Heptachlorodibenzofuran (Group 3)					
Group 4						
109333-34-8	1,2,3,7,8-Pentabromodibenzo-p-dioxin (Group 4)	Sum: 1 µg/kg		German ChemVerbots	US EPA 8290	0.1 µg/kg per item listed for each Dioxin and Furan
131166-92-2	2,3,4,7,8-Pentabromdibenzofuran (Group 4)					
50585-41-6	2,3,7,8-Tetrabromodibenzo-p-dioxin (Group 4)					
67733-57-7	2,3,7,8-Tetrabromodibenzofuran (Group 4)					
Group 5						
110999-44-5	1,2,3,4,7,8-Hexabromodibenzo-p-dioxin (Group 5)	Sum of Group 4 & 5: 5 µg/kg		German ChemVerbots	US EPA 8290	0.1 µg/kg per item listed for each Dioxin and Furan
110999-46-7	1,2,3,7,8,9-Hexabromodibenzo-p-dioxin (Group 5)					
110999-45-6	1,2,3,6,7,8-Hexabromodibenzo-p-dioxin (Group 5)					

New Balance Finished Product RSL						
CAS No.	Substance	NB Limit (Adult)	NB Limit (Children: 0-12 yrs)	Regulation	Test Methods	Lab MDL
107555-93-1	1,2,3,7,8-Pentabromodibenzofuran (Group 5)	Sum of Group 4 & 5: 5 µg/kg		German ChemVerbots	US EPA 8290	0.1 µg/kg per item listed for each Dioxin and Furan
Dye - AZO						
101-14-4	4,4'-methylene-bis-(2-chloro-aniline)	20 mg/kg for each amine		EU REACH Regulation (EC) No 1907/2006 Annex XVII; German BGVO; Korea Regulations; Taiwan Regulations; The National Standard of the People's Republic of China	Textile: EN 14362-1:2012 <u>Leather</u> : ISO 17234-1:2010 <i>4-Amino-azobenzene Confirmation:</i> Textile: EN 14362-3:2012 <u>Leather</u> : ISO 17234-2:2011	5 mg/kg
101-77-9	4,4'-methylenedianiline					
101-80-4	4,4'-oxydianiline					
106-47-8	4-chloroaniline					
119-90-4	3,3'-dimethoxylbenzidine					
119-93-7	3,3'-dimethylbenzidine					
120-71-8	6-methoxy-m-toluidine					
137-17-7	2,4,5-trimethylaniline					
139-65-1	4,4'-thiodianiline					
60-09-3	4-aminoazobenzene					
615-05-4	4-methoxy-m-phenylenediamine					
62-53-3	Aniline (information only)					
838-88-0	4,4'-methylenedi-o-toluidine					
87-62-7	2,6-Xylidine					
90-04-0	o-anisidine					
91-59-8	2-naphthylamine					
91-94-1	3,'3-dichlorobenzidine					
92-67-1	4-Aminodiphenyl					
92-87-5	Benzidine					
95-53-4	o-toluidine					
95-68-1	2,4-Xylidine					
95-69-2	4-chloro-o-toluidine					
95-80-7	4-methyl-m-phenylenediamine					
97-56-3	o-aminoazotoluene					
99-55-8	5-nitro-o-toluidine					
Dye - Blue Colorant						
118685-33-9	Component 1: C ₃₉ H ₂₃ ClCrN ₇ O ₁₂ S ₂ ·2Na	Prohibited		EU REACH Regulation (EC) No 1907/2006 Annex XVII	Methanol extraction and LC-MS analysis.	10 mg/kg
Not Allocated	Component 2: C ₄₆ H ₃₀ CrN ₁₀ O ₂₀ S ₂ ·3Na					
Dye - Carcinogenic						
1937-37-7	C.I. Direct Black 38	5 mg/L (in extract) for each dye		Oeko-Tex Standard 100	DIN 54231:2005	1 mg/L
2602-46-2	C.I. Direct Blue 6					
3761-53-3	C.I. Acid red 26					
569-61-9	C.I. Basic Red 9					
573-58-0	C.I. Direct Red 28					
632-99-5	C.I. Basic Violet 14					
82-28-0	C.I. Disperse Orange 11					

New Balance Finished Product RSL						
CAS No.	Substance	NB Limit (Adult)	NB Limit (Children: 0-12 yrs)	Regulation	Test Methods	Lab MDL
Dye - Disperse						
119-15-3	Disperse Yellow 1	5 mg/L (in extract) for each dye		German LFGB; Korea Regulations	Modification on DIN 54231:2005	1 mg/L
12222-97-8/ 69766-79-6	Disperse Blue 102					
12223-01-7/ 68516-81-4	Disperse Blue 106					
12236-29-2	Disperse Yellow 39					
13301-61-6	Disperse Orange 37/59/76					
23355-64-8	Disperse Brown 1					
2475-45-8	Disperse Blue 1					
2475-46-9	Disperse Blue 3					
2581-69-3	Disperse Orange 1					
2832-40-8	Disperse Yellow 3					
2872-48-2	Disperse Red 11					
2872-52-8	Disperse Red 1					
3179-89-3	Disperse Red 17					
3179-90-6	Disperse Blue 7					
3860-63-7	Disperse Blue 26					
54824-37-2	Disperse Yellow 49					
12222-75-2	Disperse Blue 35					
61951-51-7	Disperse Blue 124					
6250-23-3	Disperse Yellow 23					
6373-73-5	Disperse Yellow 9					
730-40-5	Disperse Orange 3					
85136-74-9	Disperse Orange 149					
Extractable Heavy Metals						
7439-92-1	Lead (Pb)	0.2 mg/kg	The National Standard of the People's Republic of China	Extraction with synthetic perspiration solution according to EN ISO 105 E04, determination by ICP-MS or AAS.	0.1 mg/kg	
7439-97-6	Mercury (Hg)	0.02 mg/kg			0.005 mg/kg	
7440-36-0	Antimony (Sb)	5.0 mg/kg			0.5 mg/kg	
7440-38-2	Arsenic (As)	0.2 mg/kg			0.02 mg/kg	
7440-43-9	Cadmium (Cd)	0.1 mg/kg			0.02 mg/kg	
7440-47-3	Chromium (Cr)	1 mg/kg			0.1mg/kg	
7440-50-8	Copper (Cu)	25 mg/kg			5 mg/kg	
Flame Retardants						
115-96-8	Tris(2-chloroethyl)phosphate (TCEP)	Not Detected (5 mg/kg)	EU REACH Regulation (EC) No 1907/2006 Annex XVII; German BGVO; US States Legislations; Japanese Law; Korea Regulations	Toluene/Methanol extraction and analysis by GC-MS or LC-MS.	5 mg/kg	
1163-19-5	Decabromodiphenyl ether (DecaBDE)	Not Detected (5 mg/kg)		Toluene/Methanol extraction and analysis by GC-MS or LC-MS.	5 mg/kg	

New Balance Finished Product RSL						
CAS No.	Substance	NB Limit (Adult)	NB Limit (Children: 0-12 yrs)	Regulation	Test Methods	Lab MDL
126-72-7	Tris-(2,3,-dibromopropyl)-phosphate (TRIS)	Not Detected (5 mg/kg)		EU REACH Regulation (EC) No 1907/2006 Annex XVII; EU EC (No.) 850/2004 German BGVO; US States Legislations; Japanese Law; Korea Regulations	Methanol extraction and analysis by GC-MS or LC-MS	5 mg/kg
32534-81-9	Pentabromodiphenyl ether (PentaBDE)	Not Detected (5 mg/kg)			Toluene/Methanol extraction and analysis by GC-MS or LC-MS.	5 mg/kg
32536-52-0	Octabromodiphenyl ether (OctaBDE)	Not Detected (5 mg/kg)			Toluene/Methanol extraction and analysis by GC-MS or LC-MS.	5 mg/kg
5412-25-9	Bis (2,3-dibromopropyl)phosphate (BIS)	Not Detected (5 mg/kg)			Methanol extraction and analysis by GC-MS or LC-MS	5 mg/kg
545-55-1	Tris(1-aziridinyl)phosphine oxide) (TEPA)	Not Detected (5 mg/kg)			KOH or NaOH digestion followed by GC-MS headspace analysis for Ethyleneimine .	5 mg/kg
59536-65-1	Polybromobiphenyls (PBB)	Not Detected (5 mg/kg)			Toluene/Methanol extraction and analysis by GC-MS or LC-MS.	5 mg/kg
13674-87-8	Tris(1,3-dichloro-2-propyl) phosphate (TDCPP/TDCP)	1000 mg/kg			THF/ACN extraction and analysis by GC-MS or LC-MS.	5mg/kg
13674-84-5	Tris(1-chloro-2-propyl) phosphate (TCPP)	Data Collecting			THF/ACN extraction and analysis by GC-MS or LC-MS.	5mg/kg
85535-84-8	Short Chain Chlorinated Paraffins (SCCP) (C10-C13)	Not Detected (50 mg/kg)			Hexane extraction and analysis by GC-MS or LC-MS	50 mg/kg
Fluorinated Greenhouse Gases						
115-25-3	Perfluorocyclobutane - c-C4F8	Not Detected (0.1 mg/kg)		EU Regulation (EC) No. 842/2006	Headspace GC-MS	0.1 mg/kg
138495-42-8	HFC-4310mee - C5H2F10					
2551-62-4	Sulphur hexafluoride - SF6					
354-33-6	HFC-125 - C2HF5					
355-25-9	Perfluorobutane - C4F10					
355-42-0	Perfluorohaxane - C6F14					
359-35-3	HFC-134 - C2H2F4					
406-58-6	HFC-365mfc - CF3CH2CF2CH3					
430-66-0	HFC-143 - C2H3F3					
431-63-0	HFC-236ea - CHF2CHF2CF3					
431-89-0	HFC-227ea - C3HF7					
460-73-1	HFC-245fa - CHF2CH2CF3					
420-46-2	HFC-143a - C2H3F3					
593-53-3	HFC-41 - CH3F					

New Balance Finished Product RSL						
CAS No.	Substance	NB Limit (Adult)	NB Limit (Children: 0-12 yrs)	Regulation	Test Methods	Lab MDL
678-26-2	Perfluoropentane - C5F12					
679-86-7	HFC-245ca - C3H3F5					
690-39-1	HFC-236fa - C3H2F6					
75-10-5	HFC-32 - CH2F2					
75-37-6	HFC-152a - C2H4F2					
75-46-7	HFC-23 - CHF3					
75-73-0	Perfluoromethane - CF4					
76-16-4	Perfluoroethane - C2F6					
76-19-7	Perfluoropropane - C3F8					
811-97-2	HFC-134a - CH2FCF3	Not Detected (0.1 mg/kg)		EU Regulation (EC) No. 842/2006	Headspace GC-MS	0.1 mg/kg
677-56-5	HFC-236cb - CH2CF2CF3					
Formaldehyde						
50-00-0	Formaldehyde	75 mg/kg	16 mg/kg	German BGVO; Japanese Law 112; Korea Regulations; Taiwan Regulations; The National Standard of the People's Republic of China	<u>Textile</u> : ISO 14184-1:2011 (Free & Hydrolyzed formaldehyde) <u>Leather</u> : ISO 17226-1:2008 Determination by HPLC.	16 mg/kg
50-00-0	Formaldehyde Release	80 mg/kg		EU Directive 2009/48/EC; Germany LFGB	EN 717-3:1996 Wood-based panels - Formaldehyde Release.	10 mg/kg
Halogenated Biphenyls & Terphenyls						
Various	Polychlorinated Biphenyls (PCBs)	Not Detected (defined as < 100 mg/kg)		EU REACH Regulation (EC) No 1907/2006 Annex XVII	US EPA 3550B / 8082A Hexane: Acetone (1:1) extraction followed by GC/MS or GC/ECD analysis.	50 mg/kg
	Polychlorinated Terphenyls (PCTs)					
Nickel Release						
7440-02-0	Nickel Release	0.28 µg/cm²/wk		EU REACH Regulation (EC) No 1907/2006 Annex XVII; German BGVO	Qualitative test according to PD CR 12471:2002 Screening of Nickel Release. For positive results, confirmation according to: Nickel release: EN 1811: 2011 / AC:2012 Abrasion of coated items: EN 12472: 2005+A1:200.	0.05 µg/cm²/week for each
N-Nitrosamines						
100-75-4	N-Nitrosopiperidine	Not detected (0.5mg/kg for each)		The National Standard of the People's Republic of China	GB/T 24153-2009	0.5mg/kg for each
55-18-5	N-Nitrosodiethylamine					

New Balance Finished Product RSL						
CAS No.	Substance	NB Limit (Adult)	NB Limit (Children: 0-12 yrs)	Regulation	Test Methods	Lab MDL
59-89-2	N-Nitrosomorpholine					
612-64-6	N-Nitroso- <i>N</i> -ethylaniline					
614-00-6	N-Nitroso- <i>N</i> -methylaniline					
621-64-7	N-Nitrosodipropylamine					
62-75-9	N-Nitrosodimethylamine					
924-16-3	N-Nitrosodibutylamine					
930-55-2	N-Nitrosopyrrolidine					
Odour Test						
Odor Test for complete articles		≤Grade 2		The National Standard of the People's Republic of China	SNV 195 651 Criteria: Grade 1 - Odorless Grade 2 - Light Odor Grade 3 - Noticeable Grade 4 - Intense Grade 5 - Intolerable	N.A.
Organotin Compounds						
Various	Dibutyltin (DBT)	1.0 mg/kg		EU REACH Regulation (EC) No 1907/2006 Annex XVII; Japanese Law 112; Korea Regulations; Taiwan Regulations	Modification on ISO 17353:2004: Ethanol extraction, derivitization and analysis by GC-MS.	0.02 mg/kg for each
Various	Monobutyltin (MBT)	1.0 mg/kg				
Various	Diocetyl tin (DOT)	1000 mg/kg				
Various	Tributyltin (TBT)	Sum of TBT & TPhT: 0.5 mg/kg				
Various	Triphenyltin (TPhT)					
Polycyclic aromatic hydrocarbons (PAHs)						
120-12-7	Anthracene	- 1 mg/kg for each of below 8 PAHs: Benzo[a]pyrene, Benzo[e]pyrene, Benzo[a]anthracene, Chrysene, Benzo[b]fluoranthene, Benzo[j]fluoranthene, Benzo[k]fluoranthene, Dibenzo[a,h]anthracene. - Sum of 18 PAHs: 10 mg/kg		German LFGB §30; Taiwan Regulations	German ZEK 01.4-08	Each: 0.1 mg/kg
129-00-0	Pyrene					
191-24-2	Benzo[ghi]perylene					
192-97-2	Benzo[e]pyrene					
193-39-5	Indeno[1,2,3-cd]pyrene					
205-82-3	Benzo[j]fluoranthene					
205-99-2	Benzo[b]fluoranthene					
206-44-0	Fluoranthene					
207-08-9	Benzo[k]fluoranthene					
208-96-8	Acenaphthylene					
218-01-9	Chrysene					
50-32-8	Benzo[a]pyrene (BaP)					
53-70-3	Dibenz[a,h]anthracene					
56-55-3	Benzo[a]anthracene					
83-32-9	Acenaphthene					
85-01-8	Phenanthrene					
86-73-7	Fluorene					
91-20-3	Naphthalene					
Pesticides						
1024-57-3	Heptachlor epoxide	Prohibited		Switzerland ChemRRV Art. 3 Appendix 1.1;	U.S. EPA Method: 8081B /	0.5 mg/kg

New Balance Finished Product RSL						
CAS No.	Substance	NB Limit (Adult)	NB Limit (Children: 0-12 yrs)	Regulation	Test Methods	Lab MDL
115-29-7	Endosulfan, α -			Finland: Ministry of Environment Government Decree on persistent organic substances (735/2002)	8151A	
115-32-2	Dicofol					
116-06-3	Aldicarb					
118-74-1	Hexachlorobenzene					
121-75-5	Malathion					
143-50-0	Kepone (Chlordecone)					
2385-85-5	Mirex					
297-78-9	Telodrin/ Isobenzan	Prohibited		Switzerland ChemRRV Art. 3 Appendix 1.1; Finland: Ministry of Environment Government Decree on persistent organic substances (735/2002)	U.S. EPA Method: 8081B / 8151A	0.5 mg/kg
298-00-0	Methyl parathion					
309-00-2	Aldrin					
33213-65-9	Endosulfan, β -					
4234-79-1	Kelevan					
465-73-6	Isodrin					
50-29-3	Dichloro-diphenyl-trichloro ethane (DDT)					
56-38-2	Parathion					
57648-21-2	Timiperone (DTTB)					
57-74-9	Chlordane					
58-89-9	Lindane					
60-57-1	Dieldrin					
608-73-1	Hexachlorocyclohexane (HCH, all isomers)					
6164-98-3	Chlordimeform					
72-20-8	Endrin					
72-43-5	Methoxychlor					
72-54-8	Dichloro-diphenyl-dichloro ethane (DDD)					
72-55-9	Dichloro-diphenyl-dichloro ethylene (DDE)					
72-56-0	Perthane					
76-44-8	Heptachlor					
8001-35-2	Toxaphene					
8001-50-1	Strobane (Terpene polychlorinates)					
82-68-8	Quintozone					
93-72-1	2-(2,4,5-trichlorophenoxy) propionic acid and its salts					
93-76-5	2,4,5-Trichlorophenoxyacetic acid and its salts (2,4,5-T)					
94-75-7	2,4-Dichlorophenoxyacetic acid and its salts					
Various	Halogenated naphthalenes					
Various	Halogenated diarylalkanes					
N/A	Halogenated diphenyl methanes					
99688-47-8	Monomethyl-dibromodiphenyl methane					

New Balance Finished Product RSL						
CAS No.	Substance	NB Limit (Adult)	NB Limit (Children: 0-12 yrs)	Regulation	Test Methods	Lab MDL
81161-70-8	Monomethyl-dichlorodiphenyl					
76253-60-6	Monomethyl-tetrachlorodiphenyl methane					
Perfluorinated Chemicals (PFCs)						
2795-39-3	Perfluorooctane sulphonate (PFOS)	1 µg/m² for each		EU REACH Regulation (EC) No 1907/2006 Annex XVII; EU EC (No.) 850/2004; Canadian Environmental Protection Act (CEPA) 1999	Methanol extraction, Analysis by LC-MS	1 µg/m²
335-67-1	Perfluorooctanoic acid (PFOA)					
3825-26-1	Ammoniumpentadecafluorootanoate (APFO)					
Phenols (Chlorinated)						
25167-83-3	Tetrachlorophenol (TeCP)	Sum of all isomers: 0.5 mg/kg	Sum of all isomers: 0.05 mg/kg	EU REACH Regulation (EC) No 1907/2006 Annex XVII; German Hazardous Substances Ordinance; Germany LFGB; Korea Regulations; The National Standard of the People's Republic of China	LFGB § 64 BVL B 82.02.08:2001, Analysis by GC-MS.	0.05 mg/kg
87-86-5	Pentachlorophenol (PCP)	0.5 mg/kg	0.05 mg/kg		LFGB § 64 BVL B 82.02.08:2001, Analysis by GC-MS.	0.05 mg/kg
Phthalates						
117-81-7	Di(ethylhexyl) phthalate (DEHP)	Sum of 17 kinds Phthalates: 1000 mg/kg	Sum of 17 kinds Phthalates: 500 mg/kg	EU REACH Regulation (EC) No 1907/2006 Annex XVII; Denmark Statutory Order 786; US CPSIA; US California Proposition 65; Canada Consumer Product Safety Act; Korea Regulations; Taiwan Regulations	CPSC-CH-C1001-09.3 GC-MS Confirmation by using HPLC-MS	50 mg/kg for each
117-82-8	Bis(2-methoxyethyl) phthalate (DMEP)					
117-84-0	Di-n-octyl phthalate (DNOP)					
26761-40-0	Di-iso-decyl phthalate (DIDP)					
28553-12-0	Di-isononyl phthalate (DINP)					
68515-42-4	1,2-Benzenedicarboxylic acid, di-C7-11-branched and linearalkyl esters (DHNUP)					
71888-89-6	1,2-Benzenedicarboxylic acid,di-C6-8-branched alkyl esters,C7-rich (DIHP)					
84-75-3	Di-n-hexyl phthalate (DnHP)					
84-74-2	Dibutyl phthalate (DBP)					
84-69-5	Diisobutyl phthalate(DIBP)					
85-68-7	Butyl benzyl phthalate (BBP)					
131-18-0	Dipentyl phthalate (DPP)					
605-50-5	Diisopentylphthalate (DIPP)					
84777-06-0	1,2-Benzenedicarboxylic acid, dipentylester, branched and linear					
776297-69-9	N-pentyl-isopentylphtalate (NPIPP)					
131-11-3	Dimethyl phthalate (DMP)					
84-66-2	Diethyl phthalate (DEP)					

New Balance Finished Product RSL						
CAS No.	Substance	NB Limit (Adult)	NB Limit (Children: 0-12 yrs)	Regulation	Test Methods	Lab MDL
PVC						
9002-86-2	Polyvinyl chloride	Prohibited		-	Beilsteins test –Chlorine Detection. (Positive results request FTIR tests.)	Negative/Positive
					Infrared Analysis – Spectroscopy (IR).	10% for FTIR Test
Total Heavy Metals						
7439-92-1	Lead (Pb)	90 mg/kg	EU REACH Regulation (EC) No 1907/2006 Annex XVII; US CPSIA; US California Proposition 65; Canada Consumer Product Safety Act; Egypt: ES 7322/2011 Korea Regulations; Taiwan regulations The National Standard of the People's Republic of China	Total Digestion – Microwave digestion, ICP-OES/MS analysis. For Metals– Hot Plate digestion. For positive results of Mercury, confirmation test conducted according to IEC 62321:2008 and analyzed with AAS.	5 mg/kg	
7439-97-6	Mercury (Hg)	1 mg/kg			0.1 mg/kg	
7440-43-9	Cadmium (Cd)	50 mg/kg			5 mg/kg	
7440-39-3	Barium (Ba)	Data collecting			5 mg/kg	
7782-49-2	Selenium (Se)	Data collecting			5 mg/kg	
7440-31-5	Stannum / Tin (Sn)	Data collecting			5 mg/kg	
Volatile Organic Compounds (VOC)						
1330-20-7	Xylene	1000 mg/kg	Oeko-Tex Standard 100	Headspace GC	5 mg/kg	
106-42-3	p-xylene	1000 mg/kg				
108-38-3	m-xylene	1000 mg/kg				
95-47-6	o-xylene	1000 mg/kg				
1319-77-3	Cresol (Methylphenole)	1000 mg/kg	Oeko-Tex Standard 100	Headspace GC	5 mg/kg	
95-48-7	o-cresol	1000 mg/kg				
106-44-5	p-cresol	1000 mg/kg				
108-39-4	m-cresol	1000 mg/kg				
108-88-3	Toluene	1000 mg/kg				
108-95-2	Phenol	10 mg/kg				
127-18-4	Tetrachloroethylene	1000 mg/kg				
50-00-0	Formaldehyde	1000 mg/kg				
630-20-6	1,1,1,2-Tetrachloroethane	1000 mg/kg				
79-34-5	1,1,2,2- Tetrachloroethane	1000 mg/kg				
68-12-2	Dimethyl formamide (DMF)	1000 mg/kg				
71-43-2	Benzene	5 mg/kg				
75-09-2	Dichloromethane	1000 mg/kg				
76-01-7	Pentachloroethane	1000 mg/kg				
79-01-6	Trichloroethylene	1000 mg/kg				

2. Packaging Restricted Substances List

Packaging materials include but not limited to hangtags, tissue paper, stuffing paper, inserts, tape, labels, boxes and bags. All packaging materials used for New Balance products must comply with the RSL requirement on packaging materials (refer to below table).

Packaging Restricted Substances List					
CAS No.	Substance	NB Max Limit	Test Method	Lab MDL	Regulation
7440-43-9	Cadmium (Cd)	CONEG (TPCH) Heavy Metals: Total Sum of all metals: 100 mg/kg	Total content: Microwave digestion with nitric acid, analysis by ICPMS. Cr (VI) verification: Alkaline mixtures digestion and analysis by UV-VIS Spectrophotometer.	5 mg/kg for each	EU Directive 94/62/EC; US Toxics in Packaging Clearinghouse (TPCH)
7439-92-1	Lead (Pb)				
7439-97-6	Mercury (Hg)				
18540-29-9	Chromium VI				
9002-86-2	PVC	Prohibited	-	-	
63231-67-4	Silica Gel	Prohibited	-	-	
624-49-7	Dimethyl Fumarate	Prohibited	Extract with Organic solvent, and analysis by GC-MS.	0.1 mg/kg	EU REACH Regulation (EC) No 1907/2006; Korea Regulations; Taiwan Regulations

3. Electronic and Electrical Equipment Restricted Substances List

Electronic and Electrical Equipment (EEE) components are defined as any component that is dependent on electric current or electromagnetic fields to function properly. EEE components must meet the limits of this section; however, all other non-EEE components must meet the complete NB RSL limits applied to equipment which is dependent on electric currents or electromagnetic fields for working properly; designed for use with a voltage rating not exceeding 1000 volt a.c. or 1500 volt for d.c.; and fallen under the categories set out in Annex 1A of 2002/96/EC. Sampling and analysis are based on the test request requirements.

Electronic and Electrical Equipment Restricted Substances					
CAS No.	Substance	NB Max Limit	Regulation	Test Method	Lab MDL
7439-92-1	Lead (Pb)	1000 mg/kg	EU RoHS II (2011/65/EU)	IEC 62321, Ed.1, 2008	100 mg/kg
7440-43-9	Cadmium (Cd)	100 mg/kg		IEC 62321, Ed.1, 2008	10 mg/kg
7439-97-6	Mercury (Hg)	1000 mg/kg		IEC 62321, Ed.1, 2008	100 mg/kg
7440-47-3	Chromium (VI)	1000 mg/kg		IEC 62321, Ed.1, 2008	100 mg/kg
NA	PBDE / PBBS	1000 mg/kg		IEC 62321, Ed.1, 2008	100 mg/kg

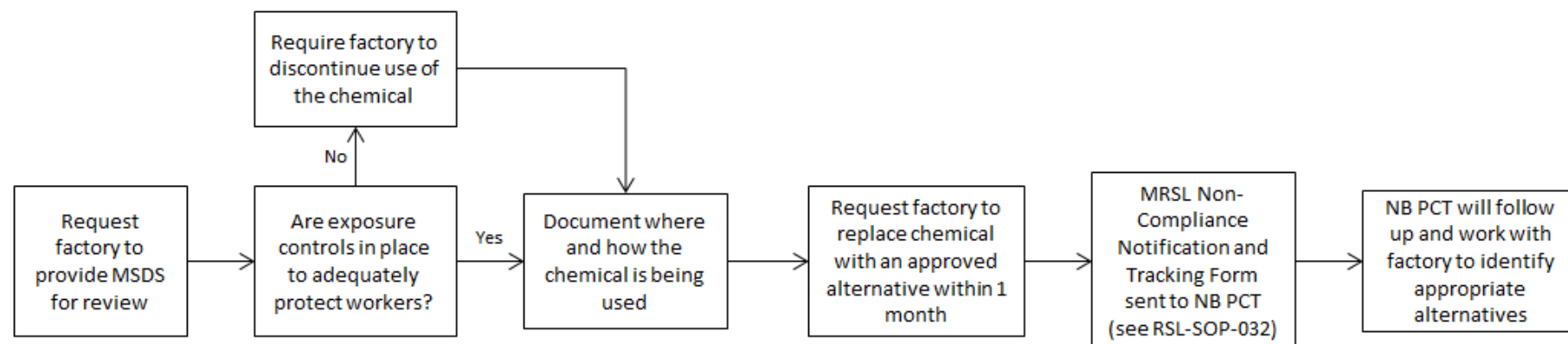
4. Manufacturing Restricted Substances List (MRSL)

New Balance Manufacturing Restricted Substances List (MRSL) applies to the chemicals used in the manufacturing of materials or finished products for NB. Chemicals on the MRSL are classified into three groups (Groups A and B, and Ozone Depleting Substances). The chemicals listed in Group A, which usually

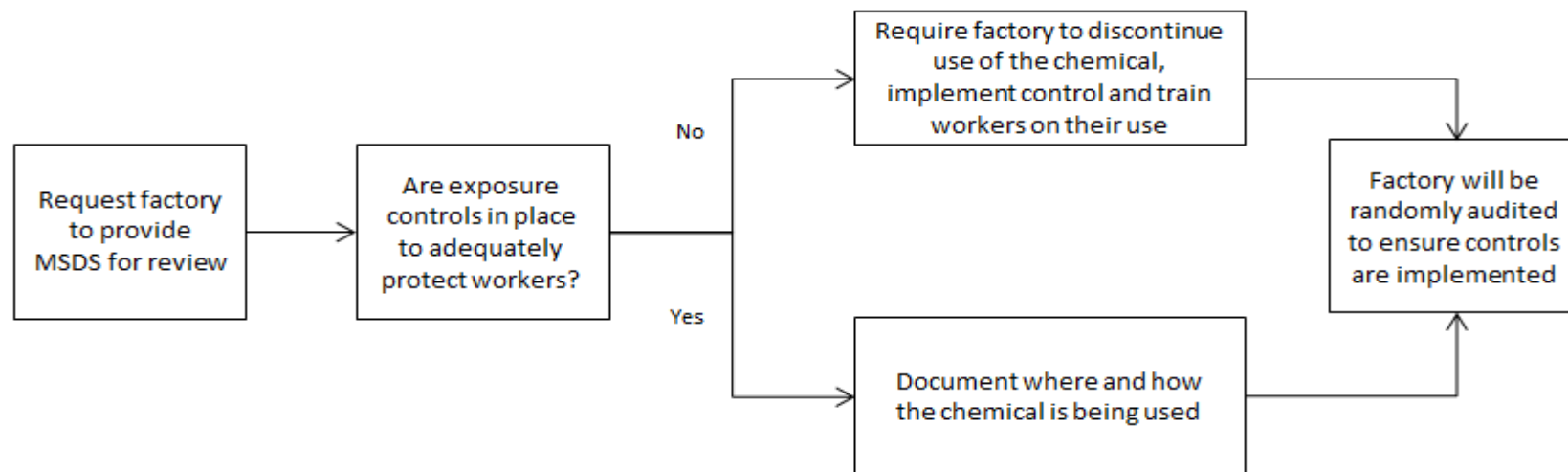
can be easily substituted with more environment friendly ones, must be eliminated during the manufacture of New Balance products. For the chemicals listed in group B, which are not technically feasible to be eliminated yet, suppliers should make every effort to minimize the exposure to workers, environment and customers. Due to their strong impact on the ozone layer, Ozone Depleting Substances must not be used in any process and manufacturing.

Each factory must document any chemical used in the manufacturing process on a Chemical Information List (CIL). Chemicals on the CIL must meet the RSL requirements. Chemicals not documented on the CIL may not be used in the manufacture of NB products. The manufacturing facilities are responsible for sharing this MRSL with their chemical suppliers to ensure chemicals procured are in compliance with the RSL and MRSL. NB expects that this list be adhered to as part of the requirements for RSL compliance for the suppliers. This list is part of NB's commitment to manufacturing New Balance products in an environmentally sustainable manner to protect the consumer, worker, environment and the brand. The flow charts below outline the process if a Group A or Group B substance is found in the manufacturing process. The MRSL applies to the chemicals used in the manufacturing of materials, components and finished products for NB.

Process Flow for Identified Group A Substances:



Process Flow for Identified Group B Substances:



4.1 Prohibited Substances (Group A)

The chemicals listed in Group A, which usually can be easily substituted with more environment friendly ones, must be eliminated during the manufacture of New Balance products.

Group A - Eliminate During the Manufacture of New Balance Products			
CAS No.	Restricted Substance	Synonyms	Common Potential Uses
71-55-6	1,1,1-trichloroethane	1,1,1 – TCA, methyl chloroform	Solvent or Cleansers
79-00-5	1,1,2-Trichloroethane	Vinyl trichloride	Solvent or cleanser
75-35-4	1,1-Dichloroethylene	1,1-dichloroethene	Solvent or cleanser
107-06-2	1,2-Dichloroethane	Ethylene chloride	Solvents in Cleaner, adhesives, paints and coating
110-80-5	2-Ethoxyethanol	Ethylene Glycol Monoethyl Ether; EGEE	Solvent in Chemicals / Inks / Paints
111-15-9	2-Ethoxyethyl acetate	2-EEA	Solvent in Chemicals / paints / lacquers / vanishes
109-86-4	2-Methoxyethanol	Ethylene Glycol Monomethyl Ether; EGME	Solvent in Chemicals / Inks / Paints
71-43-2	Benzene	Benzol, phenyl hydride	Solvent or cleanser
108-90-7	Chlorobenzene	monochlorobenzene ,MCB	Solvent
Various	Dichlorobenzene		Solvent
111-96-6	Bis(2-methoxyethyl) ether	Diglyme	Solvent in sealant and adhesives, paints and coatings
1319-77-3	Cresol	Cresylic Acid	Nylon and plastic primers and resins
75-09-2	Dichloromethane	DCM	Solvent or cleanser
68-12-2	Dimethyl formamide	DMF	Solvent or cleanser
84-74-2	Di-n-butyl Phthalates DBP	Phthalic Acid etc.	Plasticizers, solvents
100-41-4	Ethylbenzene	Phenylethane	Solvent or cleanser

Group A - Eliminate During the Manufacture of New Balance Products			
CAS No.	Restricted Substance	Synonyms	Common Potential Uses
50-00-0	Formaldehyde	Formic aldehyde	Solvent cleanser, anti-shrinkage resin, mold inhibitor
96-45-7	Imidazolidine-2-thione	2-imidazoline-2-thiol	Vulcanization agent in general rubber goods
108-39-4	m-Cresol	Cresylic Acid	Nylon and plastic primers and resins
110-54-3	n-hexane	Hexane	Solvent or cleanser
872-50-4	n-methyl pyrrolidone	NMP, 1-methyl-2-pyrrolidinone	Solvent or cleanser
25154-52-3	Nonylphenol	NP	Detergents, Softener, Dispersant, Degreaser, Plasticizer
9016-45-9	Nonylphenols ethoxylates	NPEO	Detergents, Softener, Dispersant, Degreaser, Plasticizer
95-48-7	o-Cresol	Cresylic Acid	Nylon and plastic primers and resins
27193-28-8	Octylphenol	OP	Detergents, Softener, Dispersant, Degreaser, Plasticizer
Various	Octylphenol ethoxylates	OPEO	Detergents, Softener, Dispersant, Degreaser, Plasticizer
106-44-5	p-Cresol	Cresylic Acid	Nylon and plastic primers and resins
76-01-7	Pentachloroethane		Solvent or cleanser
108-95-2	Phenol	Carbolic acid, phenyl alcohol, phenyl hydroxide	Solvent in primers, adhesives and resins for nylon and plastic
127-18-4	Tetrachloroethylene	Perchloroethylene, PERC	Solvent or cleansers
109-99-9	Tetrahydrofuran	THF	Solvent or cleansers
108-88-3	Toluene	Methylbenzene	Solvent in primers, adhesives, paints and inks
Various	Trichlorobenzene - all isomers	TCB	Solvent or cleanser
67-66-3	Trichloromethane	Chloroform	Solvent or cleanser
25155-23-1	Trixylyl phosphate	TXP	Plasticizer, flame retardant
1330-20-7	Xylene – all isomers	o,m,p-xylene	Solvent in primers, adhesives, paints and inks
96-18-4	1,2,3-trichloropropane	TCP; Allyl trichloride; Glycerol trichlorohydrin; Trichlorohydrin	Solvent, cleanser, degreaser
75-12-7	Formamide	Methanamide; Carbamaldehyde	Softener, or solvent in synthetic leather and inks production

4.2 Monitored Substances (Group B)

Chemicals listed in group B, are not technically feasible to be eliminated. However, suppliers should make every effort to minimize the exposure to workers, environment and customers.

Group B – Restrict and/or minimize During the Manufacture of New Balance Products			
CAS No.	Restricted Substance	Synonyms	Common Potential Uses
584-84-9	2,4-Toluene diisocyanate	TDI	Activator in some polyurethane foams
91-08-7	2,6-Toluene diisocyanate	TDI	Activator in some polyurethane foams
101-14-4	4,4'-methylenebis (2-chloroaniline)	MOCA	Press Pad
67-68-5	Dimethyl sulfoxide	DMSO	Solvent or cleanser
111-76-2	Ethylene glycol monobutyl ether	EGBE/Butyl cellusolve	Solvent or cleanser
127-19-5	N,N-Dimethylacetamide	DMAC	Solvent in primers, adhesives and resins
79-01-6	Trichloroethylene	TCE	Solvent or cleanser

4.3 Ozone Depleting Substances

Ozone Depleting Substances must NOT be used in any process and manufacturing due to their strong impact on the ozone layer.

Ozone Depleting Substances		
Restricted Substance	Synonyms	Common Potential Uses
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-11 (CFC-11)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-12 (CFC-12)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-13 (CFC-13)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-111 (CFC-111)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-112 (CFC-112)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-113 (CFC-113)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-114 (CFC-114)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-115 (CFC-115)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-211 (CFC-211)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-212 (CFC-212)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-213 (CFC-213)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-214 (CFC-214)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-215 (CFC-215)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-216 (CFC-216)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Chlorofluorocarbon-217 (CFC-217)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Carbon Tetrachloride (Tetrachloromethane)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Halon-1211	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Halon-1301	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Halon-2402	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Methyl Bromide	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Methyl Chloroform (1,1,1-Trichloroethane)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-21 (HCFC-21)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-22 (HCFC-22)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-31 (HCFC-31)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-121 (HCFC-121)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-122 (HCFC-122)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-123 (HCFC-123)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-124 (HCFC-124)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-131 (HCFC-131)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-132 (HCFC-132)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-133 (HCFC-133)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-141 (HCFC-141)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-142 (HCFC-142)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-221 (HCFC-221)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-222 (HCFC-222)	Solvent & Cleanser

Ozone Depleting Substances		
Restricted Substance	Synonyms	Common Potential Uses
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-223 (HCFC-223)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-224 (HCFC-224)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-225 (HCFC-225)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-226 (HCFC-226)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-231 (HCFC-231)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-232 (HCFC-232)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-233 (HCFC-233)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-234 (HCFC-234)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-235 (HCFC-235)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-241 (HCFC-241)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-242 (HCFC-242)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-243 (HCFC-243)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-244 (HCFC-244)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-251 (HCFC-251)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-252 (HCFC-252)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-253 (HCFC-253)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-261 (HCFC-261)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-262 (HCFC-262)	Solvent & Cleanser
Class I and II Ozone Depleting Substances	Hydrochlorofluorocarbon-271 (HCFC-271)	Solvent & Cleanser

4.4 Factory Chemical Information List (CIL)

The chemical information list (CIL) is required for all factories producing New Balance footwear, apparel, accessories, equipment, packaging and other products. All chemicals, inks, paints, solvents, primers, adhesives, and auxiliaries must be identified and listed on the CIL. The items must meet the NB RSM requirements and must be tested to assure compliance. The standard format for the CIL is attached in Appendix 8. The CIL will be audited periodically by NB or its appointed representatives. In the event that items are found within the production process not listed on the CIL, NB reserves the right to direct production be stopped until such item can be proved to be in compliance with the RSM through RSL testing, reviewing of the item Material Safety Data Sheet, and finished product testing.

Factories are responsible for all subcontractors' CIL and must assure that items used in production by their subcontractors are RSL approved and managed on a CIL. The factory must ensure traceability of all chemical used and documented on the CIL to a Purchase Order Number for three years. The factory must ensure that those substances listed in the MRSL are not introduced into production of New Balance products.

Monitored Chemistries

1. Antimicrobial Substances

NB requires all antimicrobial substances to comply with applicable regulations of the United States Environmental Protection Agency, the United States Federal Insecticide, Fungicide and Rodenticide Act, and European Union's Biocidal Product Regulation 528/2012 (BPR) concerning the placing of biocidal products on the market. All appropriate registration information for these substances must be supplied to NB.

2. Natural Latex

Natural latex may not be used in any New Balance products.

3. Nanotechnology Materials

Due to the uncertainty of risk associated with using nanomaterials, the New Balance PCT will continue to monitor the developments of consumer safety and impacts to the environment regarding the use of nanomaterials. Nanomaterials are 10 times smaller than the diameter of a human hair (one nanometer is one-billionth of a meter).

Recommendation 2011/696/EU defines nanomaterials as: A natural, incidental or manufactured material containing particles, in an unbound state or as an aggregate or as an agglomerate and where, 50 % or more of the particles in the number size distribution, one or more external dimensions is in the size range 1 nm - 100 nm. In specific cases and where warranted by concerns for the environment, health, safety or competitiveness the number size distribution threshold of 50 % may be replaced by a threshold between 1 and 50 %. By derogation from the above, fullerenes, graphene flakes and single wall carbon nanotubes with one or more external dimensions below 1 nm should be considered as nanomaterials.

4. Polyvinyl Chloride (PVC)

PVC containing materials must not be used in any NB products. New Balance products are screened during testing to ensure compliance with this requirement. Any detection of PVC is deemed as a violation of the RSM.

RS Management

1. RS Best Practices

The best practices listed below are intended to serve as a tool to help all parties in the supply chain identify, resolve and prevent RS issues related to NB products. This is not an exhaustive list of all potential issues, sources or prevention and remediation solutions. Please consult a member of the PCT for specific suggestions related to RS best practices. A few of NB suggestions are listed below:

- A. Use formaldehyde free or low formaldehyde resins and binders
- B. Use dyestuff, pigments, and adhesives from internationally recognized suppliers with commitments to chemical compliance
- C. Use LC/MS as a confirmation for a limited number of pigments that will give a false positive for AZO amines if tested using GC/MS
- D. Use non-APEO agents from dye additives
- E. Use suppliers with commitments to RS compliance
- F. Use other detergents without content of APEO, e.g., AEO
- G. Shift sourcing to raw material suppliers with commitments to RS compliance
- H. Avoid using cadmium as a stabilizer
- I. Use phthalate-free and PVC-free inks for children's screen prints

Key Regulations

1. Consumer Product Safety Improvement Act (CPSIA)

In August 2008, the United States Congress enacted the Consumer Product Safety Improvement Act (CPSIA), which requires manufacturers of domestic and imported children's products to test and certify their products to ensure they meet specific product safety requirements. NB has established an internal program to assure CPSIA compliance. Suppliers are responsible to ensure their materials/products provided to NB are in compliance with the CPSIA.

1.1. Children's Products

The New Balance Product Safety Committee (NBPSC) provides additional oversight to the manufacturing and production of children's products as it relates to safety, quality, and RS. Members of the NBPSC, including the Product Chemistry Manager, has the ability to review testing, regulatory, and safety documentation in comparison with this RSM, other safety manuals, and RSL SOPs.

Additionally, NB classifies a toy as "a version of a sporting goods and/or athletic equipment that cannot be used for actual play, coaching and practice sessions of an actual sport". Products not classified as a toy are in

general sporting goods and/or athletic equipment. Items identified as a toy must meet the requirements of New Toy Directive (2009/48/EC), CPSIA, EN 71, ASTM F963 and other regulations regarding toys.

2. Proposition 65

The Safe Drinking Water and Toxic Enforcement Act of 1986, known as Proposition 65, requires the State of California to annually publish a list of chemicals known to cause cancer, birth defects, or other reproductive harm. Proposition 65 is significant because the regulation requires manufactures and businesses to label products containing any of the harmful chemicals and allows consumer to initiate legal action against a manufacture or business who fails to provide a reasonable warning.

3. REACH

The European Chemical Legislation REACH (Registration, Evaluation, Authorization and Restriction of Chemical substances) has been in force since June 1, 2007. It repeals and replaces Directive 76/769/EEC with effect from June 1, 2009. Its Annex XVII replaces Annex I to Directive 76/769/EEC and SVHC (Substances of Very High Concern) have been identified and included in the Annex XIV (the list of substances subject to authorization).

The objective of REACH is to ensure a high level of safety for human health and the environment. The communication requirements of REACH ensure that not only manufacturers and importers but also their customers, i.e. downstream users and distributors, have the information they need to use products safely. Suppliers are responsible to continuously review the updates of Annex XIV, Annex XVII and SVHC candidates list to make sure that all the materials/products provided to NB are in compliance with the REACH requirements. Please refer to below link to access the REACH information:

http://ec.europa.eu/environment/chemicals/reach/reach_intro.htm

NB may randomly initiate SVHC tests in materials and finished products.

4. Washington State Reporting Law

The Children's Safe Product Act (CSPA - Chapter 70.240 RCW) developed a list of chemicals that manufacturers must report on. This list is called the Reporting List of Chemicals of High Concern to Children. As required by the law, chemicals on the list are toxic and have either been found in children's products or have been documented to be present in human tissue. However, the mere presence of these chemicals in children's products does not necessarily indicate that there is a risk of harm.

Other RS Initiatives

1. Licensee SPIR Process

Licensees and agents of NB are required to comply with the procedures and guidelines of the New Balance Licensee Social Compliance, C-TPAT, Product Integrity & RSL Qualification Process (SPIR). This compliance is critical to the product chemistry expectations of NB.

2. Traceability

2.1 Policy on Conflict Minerals

New Balance is committed to ensuring that metals and other minerals contained in our branded products are obtained, produced and used in an environmentally and socially responsible manner. In particular, NB strives to source in ways that align with our Responsible Leadership commitments and do not contribute to human rights abuses. NB works with its agents and direct suppliers to achieve these goals.

Under the “Conflict Minerals” provisions of the Dodd-Frank Wall Street Reform and Consumer Protection Act, publicly traded companies – including retailers who sell New Balance products – are required to disclose annually their use of Conflict Minerals. New Balance is required to understand its use of four metals: gold, columbite-tantalite (tantalum), cassiterite (tin) and wolframite (tungsten) (collectively, the Conflict Minerals) and whether these Conflict Minerals originated in the Democratic Republic of Congo (DRC) or adjoining countries (collectively, the Covered Countries). The goal of these regulations is to end the violent conflict in the region which has been partially financed by the exploitation and trade of Conflict Minerals originating in the Covered Countries.

New Balance will conduct an annual good faith inquiry into the origin of Conflict Minerals that are necessary to the functionality or production of our products. In particular, this inquiry will be reasonably designed to determine whether any such minerals originated in the Covered Countries or are from recycled or scrap sources. New Balance expects its agents and suppliers to participate fully in this inquiry, including providing complete and timely responses to surveys and other inquiries submitted by the company.

In the event New Balance has a reason to believe that Conflict Minerals may have originated in the Covered Countries, NB will perform due diligence on its supply chain in a manner consistent with the guidance issued by the Organization for Economic Cooperation and Development (OECD). New Balance encourages suppliers to consult external resources, such as The Electronic Industry Citizenship Coalition (EICC) and the Global e-Sustainability Initiative’s Conflict-Free Sourcing Initiative (CFSI) (<http://www.conflictreesmelter.org/cfshome.htm>) as one way to help determine which smelters and refiners may be validated as “conflict-free”.

Compliance with this policy is mandatory. Noncompliance to this policy could result in penalties, including termination of New Balance’s business with a supplier.

2.2 Policy on Uzbekistan Cotton

The New Balance Supplier Code of Conduct strictly prohibits the use of forced labor and child labor in our supply chain. According to several studies from governments and non-governmental organizations (NGOs), the Government of Uzbekistan annually requires children to work for low wages in the country’s cotton fields. New Balance has therefore decided to prohibit the use of Uzbekistan cotton in our products. This policy will remain in place until New Balance has determined that the Government of Uzbekistan has taken meaningful steps to cease the practice of using children to harvest cotton.

New Balance recognizes that the cotton supply chain is complex and that traceability of cotton is a difficult task. However, all suppliers shall work with their fabric and other component suppliers to ensure that they are not using any Uzbekistan cotton in New Balance products. Suppliers shall also identify the country of origin for cotton that is used in New Balance products and retain this information on site. New Balance reserves the right to conduct random inspections and audit these cotton country-of-origin records.

Any supplier who discovers that they are using cotton from Uzbekistan must notify New Balance immediately. Suppliers who discover that they are using Uzbekistan cotton and disclose this fact immediately will be given sufficient time to find alternative sources. Suppliers who continue to use Uzbekistan cotton but do not disclose its use to New Balance may face future remedial action, up to and including termination of business.

Green Chemistry, Alternatives, and Chemical Phase Out

1. Green Chemistry Resources

NB is committed to producing safe products for all consumers, and also supports the preservation of our natural resources. NB encourages all suppliers to adopt principles of green chemistry by using the resources listed in the table below. In the case of recycled materials, a tier testing process (development, production, and repeat orders) might be needed to qualify for RSM compliance to reduce the risk of contaminants that may be present in the finished product due to the varying differences in recycled stock feeds.

Resources for Adopting Green Chemistry Principles		
Resource	Description	Website
CleanGredients	Online database of cleaning product ingredient chemicals, providing verified information about the environmental and human health attributes of listed ingredients	http://www.cleangredients.org/home
GreenScreen	Method for comparative Chemical Hazard Assessment (CHA) that can be used for identifying chemicals of high concern and safer alternatives	http://www.cleanproduction.org/Greenscreen.v1-2.php
BlueSign	Solution for a sustainable textile production which eliminates harmful substances from the beginning of manufacturing processes	http://www.bluesign.com/index.php?id=115
Global Organic Textiles Standard (GOTS)	Standard which ensures the organic status of textiles from harvesting of the raw materials through environmentally and socially responsible manufacturing all the way to labeling in order to provide credible assurance to the consumer	http://www.global-standard.org
OEKO-TEX Eco-Passport System	Provides assistance when selecting textile auxiliaries, chemicals and preparations that are OEKO-TEX compliant	https://www.oeko-tex.com/en/manufacturers/manufacturers.xhtml
EU Substitution Support Portal (SUBSPORT)	Online resource for safer alternatives to some hazardous chemicals in commerce	http://www.subsport.eu
US EPA Chem View	Database which provides access to health and safety data on chemicals regulated under the Toxic Substances Control Act (TSCA)	http://java.epa.gov/chemview
Huntsman Textile Effects - Positive List for ZDHC	List of dyes and chemicals which do not intentionally contain any ingredient of the ZDHC 11 priority chemical groups	http://www.huntsman.com/portal/page/portal/textile_effects/Media%20Library/global/files/ZDHC-Huntsman_070513257p.pdf
DyStar econfidence - Positive List for ZDHC	List of globally marketed textile dyes and auxiliaries which do not intentionally contain any ingredient of the ZDHC 11 priority chemical groups	http://www.dystar.com/my_uploads/file/ZDHC%20DyStar%20letter.pdf

2. Alternative Assessments

NB is actively looking for alternatives to chemicals and dyes used in the manufacturing and production of all NB products. Identified alternatives are listed in the following table.

Alternative Substances				
Common Uses	CAS #	Substance Name	Substitution Description	Hazard Assessment
Plasticizers	77-90-7	Acetyl tributyl citrate / ATBC	Acetyl tributyl citrate is valued as a biodegradable plasticizer of low toxicity.	In ECHA harmonized C&L: N/A
	33703-08-1	Diisononyl adipate	Diisononyl adipate is used as a low-temperature-resistant and light-resistant plasticizer in polymers and rubbers. It is permitted in the field of food additive, food contact material. It is used as a carrier solvent for polyurethane system.	In ECHA harmonized C&L: N/A
Detergents / Degreaser / Softener / Surfactants / Dispersants	37335-03-8 / 9002-92-0	fatty alcohol-polyoxyethylene ether / FEO / AEO	Fatty alcohol-polyoxyethylene ethers are non-ionic surfactants which contain both hydrophobic tail portion (fatty alcohol part) and hydrophilic polar head groups (ethoxy chain part). They are soluble in water and many kinds of organic solvents and have excellent functions of emulsification, decontamination, moisture and dispersion; They have resistance to acid and alkali and mainly used in emulsifier, antifoaming agent, solubilizer, detergent and degreaser etc.	In ECHA harmonized C&L: N/A
	132778-08-6	Alkyl Polyglycoside / APG	Alkyl polyglycosides (APGs) are a class of non-ionic environmentally friendly surfactants widely used in a variety of household and industrial applications. They are derived from sugars and fatty alcohols; therefore, they are generally favored for their manufacture from renewable resources.	In ECHA harmonized C&L: N/A
	-	N-alkyl Glucose amide (AGA) / N-acyl-N-methyl Glucamine (MEGA)	Similar as APG, AGA/MEGA is one kind of non-ionic environmentally friendly surfactants, and widely used in detergent, pharmaceutical industry, food industry, agriculture, environment, etc.	In ECHA harmonized C&L: N/A

Alternative Substances

Common Uses	CAS #	Substance Name	Substitution Description	Hazard Assessment
Detergents / Degreaser / Softener / Surfactants / Dispersants	-	Fatty methyl ester ethoxylate / FMEE	FMEE is a new type of nonionic surfactants obtained by direct ethoxylation of fatty acid methyl ster in the presence of modified catalyst; FMEE is a good alternative of APEO, and it can be well used in household detergents, hard surface clearing, emulsifiers, dispersants, or oil phase adjusters.	In ECHA harmonized C&L: N/A
	68439-46-3	C9-11 Alcohols, ethoxylated (6 EO)	Fatty alcohol ethoxylates, clear to yellowish liquid to waxy solids depending on alkyl chain length and the number of ethoxy groups, are non-ionic surfactants which contain both hydrophobic tail portion (fatty alcohol part) and hydrophilic polar head groups (ethoxy chain part), and are thus tend to dissolve in both aqueous and oil phase and to reduce the surface tension of liquids.	Suggested by USA EPA as safer alternatives to NPEs for surfactants
	68131-39-5	C12-15 Alcohols, ethoxylated (9 EO)		
	64366-70-7	Oxirane, methyl-, polymer with oxirane, mono(2-ethylhexyl ether); Ecosurf EH-9 /PPG-9-ETHYLHEXETH-5		
	68515-73-1	D-Glucopyranose, oligomeric, decyl octyl glycosides		
	68411-30-3	Benzenesulfonic acid, C10-13-alkyl derivs., sodium salt		
	151-21-3	Sodium lauryl sulfate	Sodium lauryl sulfate (SLS), prepared by sulfation of lauryl alcohol and neutralisation with sodium carbonate, is a common surfactant which has an amphiphilic properties due to C12 chain (lipophilic) attached to a sulfate group (hydrophilic). This bifunctionality in one molecule provides the basic properties useful in cleaners and detergents. SLS is used as a wetting agent in textiles, foaming and cleaning agent in detergent, cosmetic emulsifier, and sometimes in toothpastes.	
	9004-82-4	Polyoxy(1,2-ethanediyl), alpha-sulfo-omega- dodecyloxy-, sodium salt		

Alternative Substances

Common Uses	CAS #	Substance Name	Substitution Description	Hazard Assessment
Solvents / Cleansers	627-93-0	Dimethyl adipate	Dimethyl Adipate is used as a solvent for paint stripping and resins; Cleaner for polymeric residues; pigment dispersant. It is used as an intermediate to produce agrochemicals and dyes.	In ECHA harmonized C&L: N/A
	1310-73-2	Sodium hydroxide	Sodium hydroxide is frequently used as an industrial cleaning agent where it is often called "caustic". It is added to water, heated, and then used to clean process equipment, storage tanks, etc. It can dissolve grease, oils, fats and protein based deposits. It is also used for cleaning waste discharge pipes under sinks and drains in domestic properties.	In ECHA harmonized C&L: H314-Causes severe skin burns and eye damage.
	90622-58-5	1,3,5,7-Trimethyldecane ; Alkanes, C11-15-iso-	It is an Isoalkane and used as solvent in the process of cleaning / washing / rinsing / dry cleaning, during manufacture of textiles, wearing apparel, leather and related products.	In ECHA harmonized C&L: N/A
	526-73-8	1,2,3-Trimethylbenzene	It is prepared from petroleum and coal tar and used as solvents for resins, gums, and nitrocellulose and used as intermediates for the manufacturing other chemical compounds.	In ECHA harmonized C&L: N/A
	616-38-6	Dimethyl carbonate (DMC)	Dimethyl Carbonate is often considered to be a green reagent, which is a solvent of both extraction and reaction used in many industries; pharmaceuticals; agrochemicals; hydrocarbon refinery; paint and coatings and fragrances; It is used as a methylation and carbonylation agent in organic synthesis. It can be used as fuel and lube additive.	In ECHA harmonized C&L: H225 Highly flammable liquid and vapor.

3. PVC/Phthalate-Free Printing Inks

NB prohibits use of PVC and restricts use of phthalates in products. PVC and phthalates are substances which have been historically used in printing inks. The list below provides some NB approved printing inks for footwear products that do not intentionally contain PVC and phthalates.

List of Approved PVC/Phthalate-Free Printing Inks for Footwear

Product		Supplier/Vendor Name	Contact Information	Website	Location(s) Approved For Use
No.6400 Series	Water based	Tachia	csming@yeah.net	http://www.tachia.net	China, Indonesia, Vietnam
No.2400 Series	Solvent based				
No.1400 Series	Solvent based				
No. 1200 Series	Water based				
WF16 Series	Water based	Three Kings	t3kings.com@msa.hinet.net	N/A	China
WF 8 Series	Water based				China
SB888 Series	Solvent based				Vietnam
ACB-TF Series	Solvent based				China
WB Inks	Water based	Trust (VN)	trustlucy@126.com	http://www.trust-ink.com	China, Indonesia, Vietnam
SB Inks	Solvent based				
SWS-60 Series	Water based	SungLim (VN)	jsjeong_gzc@yahoo.com	N/A	Vietnam
SWS-80 Pop Series	Water based				
HAP-60 Series	Solvent based				

NB Testing Guidelines

1. Footwear RSM Process & Testing Guidance

All materials used in New Balance footwear manufacturing processes must be in compliance with all RSM requirements. This section provides information on the test material types commonly used in New Balance footwear, the NB RSM quarterly approach, identifying samples for testing and testing requirements, reason codes and factory Chemical Information List (CIL). The test material types as listed in the New Balance RSL Test Request form are:

- Leather
- Coated leather
- Synthetic leather
- Polymer (EVA, TPU, rubber, sole, foam, latex, thermo soles, etc.)
- Textile
- Synthetic
- Natural
- Blends
- Ink, paint, pigment, print
- Chemicals (Primer, Cement, shoe cream etc.)
- Metals
- Paperboard (insole)
- Packaging material [including but not limited to tissue, insert hangtag, box, label, carton etc. (tested to New Balance Packaging RSL limits and restrictions)]
- Material package
- Full Shoe

NEW BALANCE MATERIAL RSL TEST PACKAGE REQUIREMENT – FOOTWEAR

Test Items	Leather	Coated Leather	Synthetic Leather	Polymer (EVA, TPU, rubber, sole, foam, latex, thermo soles, etc.)	Textiles			Ink, paint, pigment, print	Chemicals (*5) (Primer, Cement, shoe cream etc.)	Metals	Paperboard (Insole)	Packaging material	Material Package (*4)
					Synthetic	Natural	Blends						
1. AZO Dyes (*1)	●	●	○		●	●	●	○			○		
2. Chromium VI (*2)	●	●											
3. CONEG (TPCH) Heavy Metals												●	
4. Total Heavy Metals	●	●	●	●	○	○	○	●	○	●	●		
5. Disperse Dyes (*1)					●		●						
6. Formaldehyde	●	●	●		●	●	●				●		
7. AP & APEO	●	●	●	●	●	●	●	●	●				
8. Nickel Release (*2)										●			
9. Organotins	○	●	●	●		○		●	●				
10. PCP & TeCP	●	●				●	●				●		
11. Phthalates		●	●	●				●	●				
12. PAHs				●									
13. VOC (*2)								●(*3)	●(*3)				
14. PVC (*2)		○	○	○				○	○				
15. PFCs (*2)	● Only for materials with water repellent functions												
16. N-Nitrosamines				○ (*6)									
Material Sample size requirement	20-30 g/ 2 pieces A4			20-30 g/ 2 pieces A4	20-30 g/ 3 pieces A4			30 g/ 100ml	30 g/ 100ml	10 g/ 5 pieces	20 g/ 2 pieces A4	5 g/ 1 pieces A4	20-30 g/ 3 pieces A4
Full Shoe Testing	Adult: 2 pairs of shoes + raw material of small parts						Kids: 3 pairs + raw material of small parts						

Remark: ● Core Test ○ Optional Test

*1. White and transparent materials exempted. *2. Composite testing is not allowed.

*3. For solvent-based only. *4. For material package, test item of each involved components should be considered.

*5. For the chemicals consist of only solvents (e.g. cleanser), just test for VOC.

*6. For rubber material only.

● **Core Test:** Mandatory Test for applicable material types

○ **Optional Test:** Suppliers are encouraged to test these items when applicable.

Note: Based on the NB RSM approved testing laboratories, testing cost for each requirement has been established (provided by your RS Contacts). Suppliers acknowledge agreement and responsibility for payment for each package test required by filling out the New Balance Test Request Forms.

1.1. Guidelines for Submitting Samples for NB Initiated Quarterly RSL Test

This guideline is intended to simplify the RSM process for sample requirements, prompt and complete RS test results, data analysis and scorecard generation for suppliers and efficiency in promoting materials for production in the New Balance 20/20 system.

1.1.1. NB Quarterly Approach

Footwear RSM management is based on a quarterly testing approach. Each quarter, the list of materials by color and factory that will be used in all styles is developed and passed to the RS team. The RS team uses the list to approve materials using the NB RSM reason codes that have already been tested, and requests RS testing for items that have not already been tested. The RS team will advise suppliers of the number of their materials by color, which needs to be tested for the quarter. The supplier is responsible for arranging payments for testing at the approved laboratories. The factory RS team will obtain and prepare production quality samples for RS testing, fill out the NB Quarterly Test Request Form (Appendix 2), and send materials to a NB approved RS testing laboratory for testing. The results will be sent to the supplier, the factory, and the RS teams. All materials used to manufacture NB footwear must be RS approved before they can be used.

Testing scorecards are developed quarterly on each supplier based on test results and sent to the factories and development teams. The scorecards will be reviewed quarterly and NB reserves the right to cease doing business with suppliers that fail RS testing.

1.1.2. NB RSL Material Approval Reason Codes

Material approval for the RSL is based on reason codes, which determines the type of RS approval for each material item by color. The following reason codes are currently used by the NB RS team for quarterly approval of materials that will be used in production:

- Direct Test (DT): Test reports of a test performed to a specific NB MAT # and color
- Composite Test (CT): Tests reports obtained through composite testing of materials of various colors
- Base Chemical (BC): Test report of same base chemical or material e.g. TPU pellet etc
- Comparison Test (CP): Defined as same chemical & material type of the same material with minor modification (e.g. plain weave to twill or basket weave, rib knit to other knit types)
- Material/Product Certification (CM): Certification of a supplier's material/components for RSL compliance. The certification must be easily verifiable and meet all NB RSL requirements to be accepted. Random material testing will be conducted to verify that the supplier is able to continuously produce products that comply with the NB RSL requirements.
- Certified Suppliers (CS): Reason codes for suppliers certified by the NB RS team.

1.1.3. Requirements for Suppliers

- To test samples, completely fill out the New Balance Footwear RSL Test Request Form (Appendix 2)
- Send samples along with the completed New Balance Footwear RSL Test Request Forms in a timely manner when requested to a NB approved laboratory

1.1.4. Preparing Samples for Testing

- Collect production quality sample
- Each sample must fulfill the minimum sample size requirement
- Place individual sample in plastic bag tied securely

- Label each wrapped sample plastic bag with the New Balance MAT No.
- Fill out the New Balance Test Request Form completely. Forms must include New Balance MAT No.
 - Send to Approved New Balance RSLM testing laboratories

1.1.5. RSL Test Data Handling

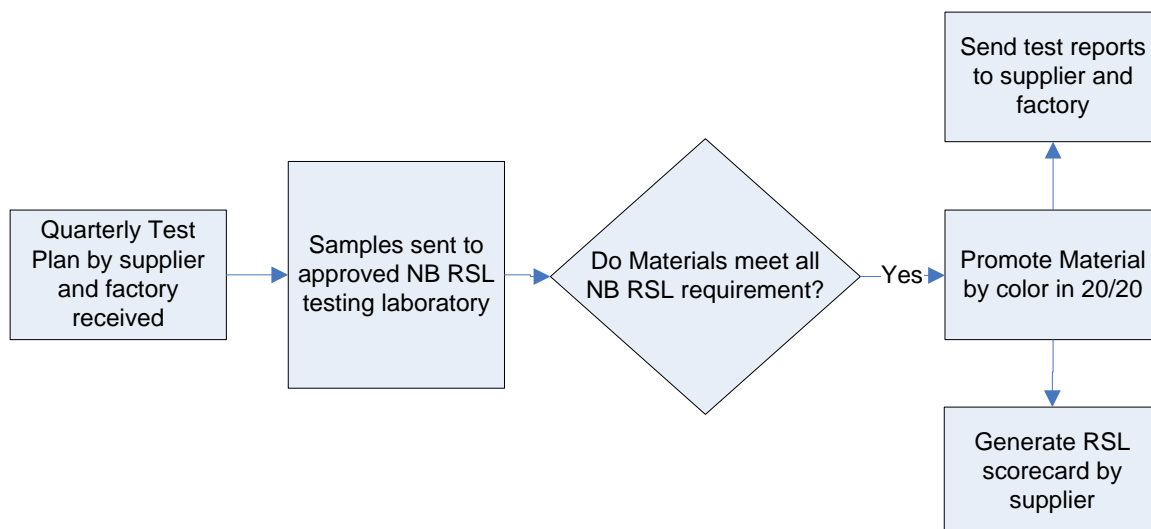
- Suppliers will get a copy of the test reports for the materials/colors that testing is required (See testing flow)
 - In the case of a failed test, NB requires an investigation into the source of the failure by the supplier to include: source of failure, chemical name, where currently used, action taken to prevent reoccurrence, and acknowledgement that the changes will be implemented. The details of the investigation must be documented in the New Balance RSL Corrective Action Request (CAR) form (Appendix 5). NB reserves its other rights set forth in the Manual in the event of an RSL failure.
- Factories will get a copy of test reports for samples assigned in their quarterly test plan
- Factories will get a copy of test reports for full shoe testing
- NB will use the test reports to
 - generate supplier scorecards and other evaluation reports
 - determine whether materials meet all NB RSL requirements and thus be used for production
 - determine whether finished shoe meets all NB RSL requirements and thus be shipped to the customer

1.1.6. Corrective Action Request Form

The CAR (Appendix 5) is used to document root cause analysis for any RSL failure. NB expects an investigation into the source of any RSL failures and such failures documented on the CAR to include: source of failure, chemical name, where currently used, action taken to prevent reoccurrence, and acknowledgement that changes to prevent reoccurrence will be implemented.

The form must be submitted to NB for approval before any retesting is done. Part of the requirement for an approved CAR is that materials will be picked up again for random testing at any stage of production to verify that the supplier is able to sustain all corrective actions documented in the CAR. The supplier is responsible for the cost of this random test. Suppliers are required to fill out a CAR for any item that fails the RSL testing.

New Balance Footwear RSL Testing Flow:



1.2. Testing Rules and Frequency

This section provides guidance regarding product testing to the NB RSL requirements.

1.2.1. Material Testing

All materials, by color, and factory origin, used in New Balance products must be compliant to the most current RSL. Material is defined as production quality material that represents the supplier's control capabilities and consistency in reproducing the material for New Balance products. Material testing will follow the quarterly testing plan approach as shown in the New Balance footwear RSL testing flow chart:

- All materials used in New Balance products must be RSL approved.
- Only production quality materials are allowed for NB RSL testing.
- Samples for RSL testing will be taken from the factory.
- Only RSL approved materials in 20/20 can be used for production of any New Balance product.
- Suppliers are responsible for cost of the quarterly routine RSL test.
- Test reports are valid for one year.

1.2.2. Repeat Orders

All materials/components are subject to a yearly retest.

Materials will be selected randomly for audit testing.

1.2.3. Random Testing

NB will select materials/components or finished products at random for RSL testing. In the case of failure this test result supersedes any test results related to the same color in the 20/20 database.

1.3. Soles (midsoles, outsoles, components, pigments & misc. chemicals)

The soles for all New Balance footwear must be manufactured to meet all NB finished product RSL requirements. In addition, sole manufacturers must make sure that no substances in the Manufacturing RSL are used in the production of soles for New Balance footwear. All chemicals used in production must be managed through a Chemical Information List (CIL) that allows for traceability.

Sole manufacturers must ensure that the following heavy metals (Cadmium, Lead, Mercury, Arsenic, and Chromium) are not introduced into the manufacturing process of soles. In the event where they are incidentally found, they must meet all requirements for finished product RSL.

Soles will be tested quarterly as well as randomly to ensure compliance to the NB RSL requirement. The supplier is responsible for the cost of all testing excluding the audit tests.

In the event that a product fails and is recalled for sole issues, the supplier is responsible for all costs associated with any such recalls including but not limited to: transportation, cancelled orders, quarantine and destruction of failed products.

No sole unit will be allowed to ship when found to be in violation of the NB RSL. All finished products which include a sole unit that have failed the RS testing must be destroyed and costs for finished goods with the sole unit will be to the responsibility of the sole manufacturer. The sole manufacturer must fill out the New Balance Footwear RSL Failure Corrective Action Form (Appendix 5) and submit for approval before any sole production for NB products can resume.

2. Production Material RSL Testing

The NB PCT team will randomly select samples from the production material storage area for RSL testing each year. The purpose is to verify the consistency of RSL compliance on production materials, and ensure the CAR improvement shall be well executed by the supplier on those materials with previous RSL test failures.

Guideline for Sample Selection

- Material that is being used in production in all NB manufacturing locations
- Material with previous RSL test failures and with customer complaints
- Material defined as high risk, see “Testing Methodology” (Page 3)

3. Finished Shoe RSL / REACH SVHC Testing

New Balance finished shoe RSL/REACH SVHC testing is conducted annually for random verification of RSL compliance of shoes manufactured from NB approved materials, as well as the verification of potential contamination from chemicals or additives used during shoe manufacturing processes like printing and cementing. The factory must ensure that all shoes are RSL compliant before shipment. In case of non-compliance related to RSL issues of finished shoes, the factory that shipped the product shall be held responsible for all expenses to be incurred as a result of the non-compliance.

Preparing Samples for Testing:

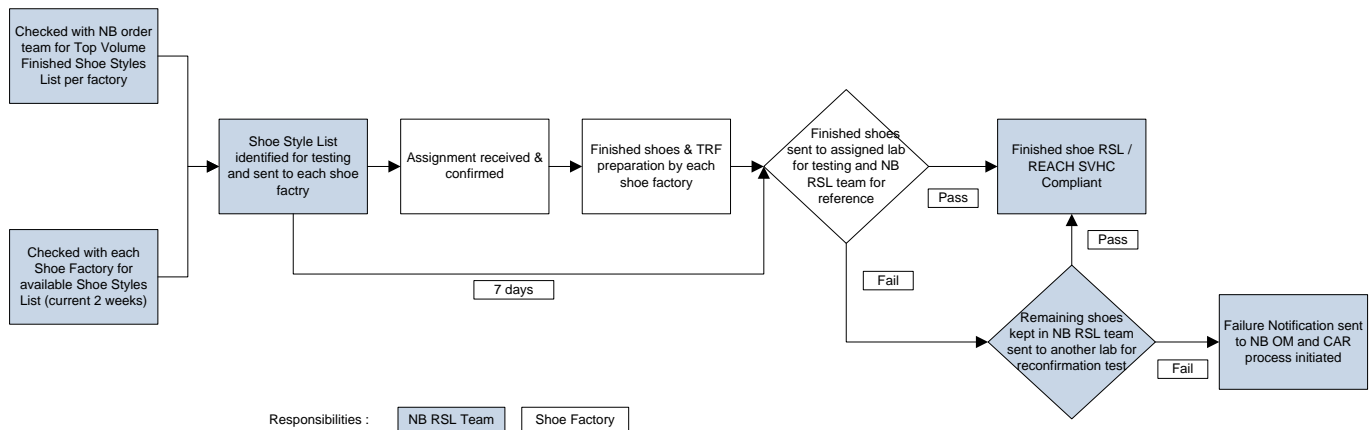
Test Category	Samples sent to assigned lab	Samples sent to NB RSL team
Whole Shoe RSL Testing	2 pairs of finished shoes for Adult style; 3 pairs of finished shoes for Kids style	Per Style: 1 pair of finished shoe and 1 pair of finished upper
REACH SVHC	1 pair of finished shoes	Per Style: 1 pair of finished shoe and 1 pair of finished upper

Testing priority for Finished Shoe RSL Testing

This section provides a guideline for the components that require further RSL testing. The assigned laboratory shall determine the testing priority with reference to the defined Risk Category:

High Risk	Medium Risk	Low Risk
Azo dyes, Total Heavy Metals, Cr (VI), Formaldehyde, Nickel Release, Phthalates, PVC, AP&APEO	Disperse Dyes, Organotin Compounds, PCP/TeCP, PAHs, Dimethylfumarate	PFOS/PFOA, Flame Retardants, VOC, Chlorofluorocarbons

Finished Shoe RSL / REACH SVHC Testing Flow:



4. Apparel & Accessories RSL Process and Testing Guidance

All materials used in New Balance apparel & accessories and related factory manufacturing processes must be in compliance with the NB RSL requirements.

This section provides information on the test material types commonly used in New Balance apparel & accessories, NB RSL yearly/seasonal testing approach, identifying samples for testing and testing requirements. Suppliers are responsible for the cost of yearly/seasonal test. Test reports are valid for one year.

NB reserves the right to conduct random audits during production. Items that do not meet the RSL requirements during these audits will not be allowed to ship. NB will be responsible for payments for these audits except where it is necessitated by a corrective action. Common material types for apparel that may be tested include but not limited to:

- Leather
- Coated leather
- Synthetic leather
- Polymer (rubber, foam, plastic etc.)
- Textile
- Synthetic
- Natural
- Blends
- Ink, paint, pigment, print
- Chemicals (Cleanser, Cement, etc.)
- Metals
- Packaging material; including but not limited to tissue, insert, hangtag, box, label, carton etc. (tested to New Balance Packaging RSL limits and restrictions)

NEW BALANCE MATERIAL RSL TEST PACKAGE REQUIREMENT – APPAREL

Test Items	Leather	Coated Leather	Synthetic Leather	Polymer (Rubber, foam, plastics, etc.)	Textiles			Printing/Coating	Chemicals (*5) (Cleanser, Cement, etc.)	Metals	packaging material
					Synthetic	Natural	Blends				
1. AZO Dyes (*1)	●	●	○		●	●	●	○			
2. Chromium VI (*2)	●	●									
3. CONEG (TPCH) Heavy Metals											●
4. Extractable Heavy Metals					●	●	●				
5. Total Heavy Metals	●	●	●	●	○	○	○	●	○	●	
6. Disperse Dyes (*1)					●		●				
7. Carcinogenic Dyes (*1)	○	○			○	○	○				
8. Formaldehyde	●	●	●		●	●	●				
9. Flame Retardants					○	○	○				
10. AP & APEO	●	●	●	●	●	●	●	●	●		
11. Nickel Release (*2)										●	
12. Organotins	○	●	●	●		○		●	●		
13. PCP & TeCP	●	●				●	●				
14. Phthalates		●	●	●				●	●		
15. PAHs				●							
16. VOC (*2)									● (*3)		
17. PVC (*2)		○	○	○				○			
18. Pesticides (*2)	○					○	○				
19. PFCs (*2)	● For materials with water repellent functions										
20. N-Nitrosamines				○ (*5)							
Material Sample size requirement	20-30 g/ 2 pieces A4			20-30 g/ 2 pieces A4	20-30 g/ 3 pieces A4	20-30 g/ 3 pieces A4	20-30 g/ 3 pieces A4	20 g/ 2 pieces A4	30 g/ 100ml	10 g/ 5 pieces	10 g/ 2 pieces A4
Finish Product Testing	2 pieces or 1 set of product										
Remark: ● Core Test ○ Optional Test *1. White and transparent materials exempted. *2. Composite testing is not allowed. *3. For solvent-based only. *4. For the chemicals consist of only solvents (e.g. cleanser), just test for VOC. *5. For rubber material only.											

● **Core Test:** Mandatory Test for applicable material types

○ **Optional Test:** Suppliers are encouraged to test these items when applicable.

Note: Based on the NB RSL approved testing laboratories, testing cost for each requirement has been established (provided by your RS Contacts). Suppliers acknowledge agreement and responsibility for payment for each package test required by filling out the New Balance Test Request Forms.

4.1. Apparel RSL Testing Process

4.1.1. Approved Suppliers

Suppliers in this category are responsible for arranging and following up on audits for RSL compliance. All follow-up corrective action plans are the responsibility of the suppliers. NB reserves the right to inspect anytime during business hours as it sees fit.

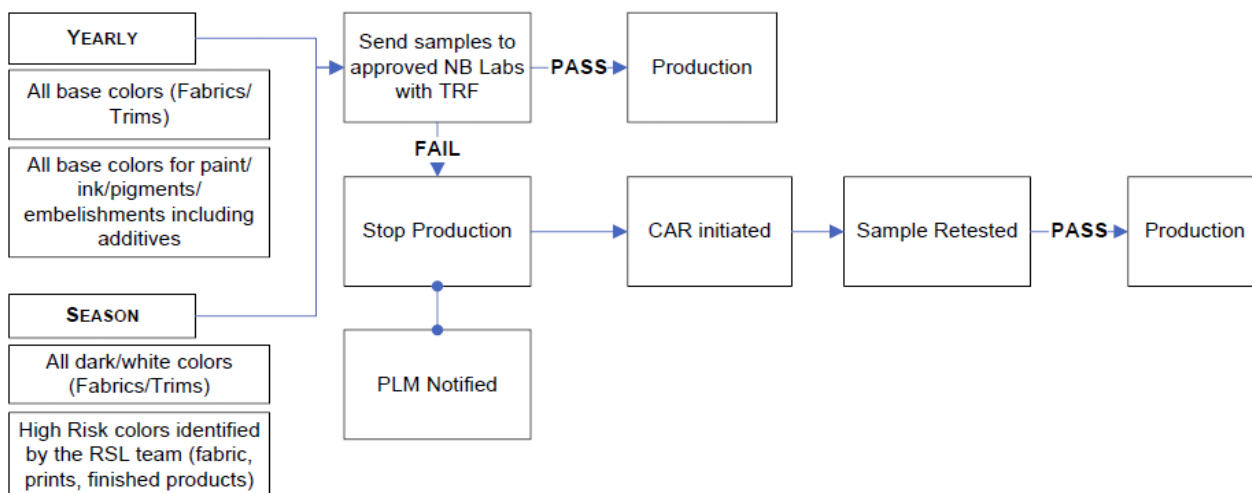
4.1.2. Testing Rules and Frequency - Approved Suppliers

YEARLY: Yearly testing will begin April 1 and suppliers will have until the end of May to complete the base color yearly testing requirements. Samples sent for testing must be accompanied by an Apparel Test Request Form (Appendix 3). In the event of failures a CAR (Appendix 6) must be submitted following the CAR process.

Base colors are those from which other colors used in the manufacturing process are derived. The number of colors and tests may vary by supplier. All additives used must be RSL compliant. Testing must be conducted at NB approved testing laboratories and to NB determined standards and test methods.

SEASONAL: Each season, materials described as dark and white will need to be tested. As well, the RS team will review color palette and determine high risk colors that will need testing for both prints and finished products. Samples sent for testing must be accompanied by an Apparel Test Request Form (Appendix 3). In the event of failures a CAR (Appendix 6) must be submitted following the CAR process.

The suppliers are responsible for providing samples in a timely manner to ensure testing is complete before full production.

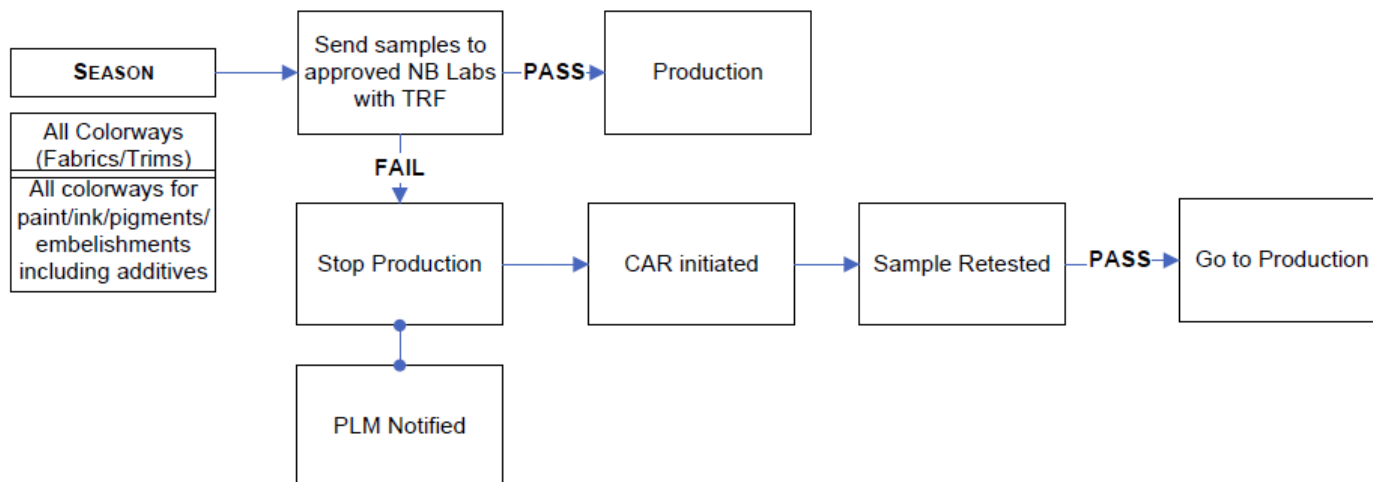


4.1.3. Other Sources

Other sources refer to suppliers not yet audited and approved for RSL compliance.

4.1.4. RSL Testing Rules and Frequency – Other Sources

SEASONAL: All items from suppliers classified for RSL as Other Sources will need to be tested for RSL compliance in all color ways. Testing must be completed at the approved NB laboratories and to NB standards before full production. Samples sent for testing must be accompanied by an Apparel RSL Test Request Form (Appendix 3). In the event of failures a Corrective Action Request “CAR” (Appendix 6) must be submitted following the CAR process.



5. Equipment RSL Process and Testing Guidance

All materials used in New Balance (including Warrior and Brine) equipment products and factory manufacturing processes must be in compliance with the NB RSL requirement. This section provides information on the test material types commonly used in New Balance, Warrior or Brine equipment, NB RSL yearly/seasonal testing approach, identifying samples for testing and testing requirements. Common material types for equipment that may be tested include but not limited to:

- Leather
- Coated leather
- Synthetic leather
- Polymer
- Rigid Plastic (PP, ABS, EPP, PE, Carbon Fiber etc.)
- Flexible Plastics (EVA, Foam, Rubber, TPU, etc.)
- Textile
- Synthetic
- Natural
- Blends
- Ink, paint, pigment, print
- Chemicals (Cleanser, Cement, etc.)
- Metals
- Wood/Cork
- Packaging material; including but not limited to tissue, insert, hangtag, box, label, carton etc. (tested to New Balance Packaging RSL limits and restrictions)

NEW BALANCE MATERIAL RSL TEST PACKAGE REQUIREMENT – EQUIPMENT

Test Items	Leather	Coated Leather	Synthetic Leather	Polymer		Textiles			Ink, paint, pigment, print	Chemicals (*5) (Cleanser, Cement, Primer, etc.)	Metals	Wood /Cork	Packaging material
				Rigid Plastic (PP, ABS, EPP, PE, Carbon Fiber etc.)	Flexible Plastics (EVA, Foam, Rubber, TPU, etc.)	Synthetic	Natural	Blends					
1. AZO Dyes (*1)	●	●	○			●	●	●	○				
2. Chromium VI (*2)	●	●											
3. CONEG (TPCH) Heavy Metals													●
4. Total Heavy Metals	●	●	●	●	●	○	○	○	●	○	●		
5. Disperse Dyes (*1)						●		●					
6. Formaldehyde	●	●	○			●	●	●					
7. Formaldehyde release												●	
8. AP & APEO	●	●	●		●	●	●	●	●	●			
9. Nickel Release (*2)											●		
10. Organotins	○	●	●	●	●		○		●	●			
11. PCP & TeCP	●	●					●	●				●	
12. Phthalates		●	●		●				●	●			
13. PAHs					●								
14. VOC (*2)									●(*4)	●(*4)			
15. PVC (*2)		●	●		●				○				
16. PFCs (*2)	● For materials with water repellent functions												
17. N-Nitrosamines					○ (*3)								
Material Sample size requirement	20-30 g/ 2 pieces A4			10 g/ 1 pieces A4	20-30 g/ 2 pieces A4	20-30 g/ 3 pieces A4			30 g/ 100ml	30 g/ 100ml	10 g/ 5 pieces	65 g	10 g/ 2 pieces A4
Finished Product Testing	2 pieces or 1 set of finished product												
Remark: ● Core Test ○ Optional Test *1. White and transparent materials exempted. *2. Composite testing is not allowed. *3. For rubber material only. *4. For solvent-based only. *5. For the chemicals consist of only solvents (e.g. cleanser), just test for VOC.													

● **Core Test:** Mandatory Test for applicable material types

○ **Optional Test:** Suppliers are encouraged to test these items when applicable.

Note: Based on the NB RSL approved testing laboratories, testing cost for each requirement has been established (provided by your RS Contacts). Suppliers acknowledge agreement and responsibility for payment for each package test required by filling out the New Balance Test Request Forms.

5.1. Equipment RSL Testing Process/ Approved Sources

Suppliers in this category are responsible for arranging and following up on audits for RSL compliance. All follow-up corrective action plans are the responsibility of the suppliers. NB reserves the right to inspect anytime during business hours as it sees fit.

YEARLY: : Yearly testing will begin April 1 and suppliers will have until the end of May to complete the base color yearly testing requirements. Samples sent for testing must be accompanied by an Equipment Test Request Form (Appendix 4). In the event of failures a Corrective Action Request “CAR” (Appendix 7) must be submitted following the CAR process. Suppliers are responsible for the cost of this test. Test reports are valid for one year.

Base colors are those from which other colors used in the manufacturing process are derived. The number of colors and tests may vary by suppliers. All additives used must be RSL compliant. Testing must be conducted at NB approved testing laboratories and to NB determined standards and test methods.

NB reserves the right to conduct random audits during production. Items that do not meet the RSL requirements during these audits will not be allowed to ship. NB will be responsible for payments for these audits except where it is necessitated by a corrective action.

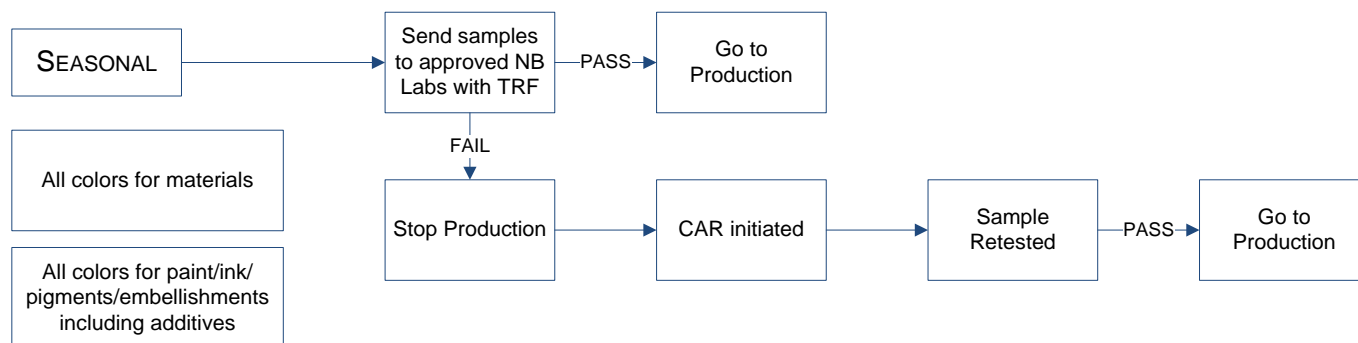
SEASONAL: Each season, materials described as dark and white will need to be tested. As well, the RS team will review color palette and determine high risk colors that will need testing for both prints and finished products. Samples sent for testing must be accompanied by an Equipment Test Request Form (Appendix 4). In the event of failures a Corrective Action Request “CAR” (Appendix 7) must be submitted following the CAR process. Suppliers are responsible for the cost of this test. Test reports are valid for one year.

The suppliers are responsible for providing samples in a timely manner to ensure testing is complete before full production.

NB reserves the right to conduct random audits during production. Items that do not meet the RSL requirements during these audits will not be allowed to ship. NB will be responsible for payments for these audits except where it is necessitated by a corrective action.

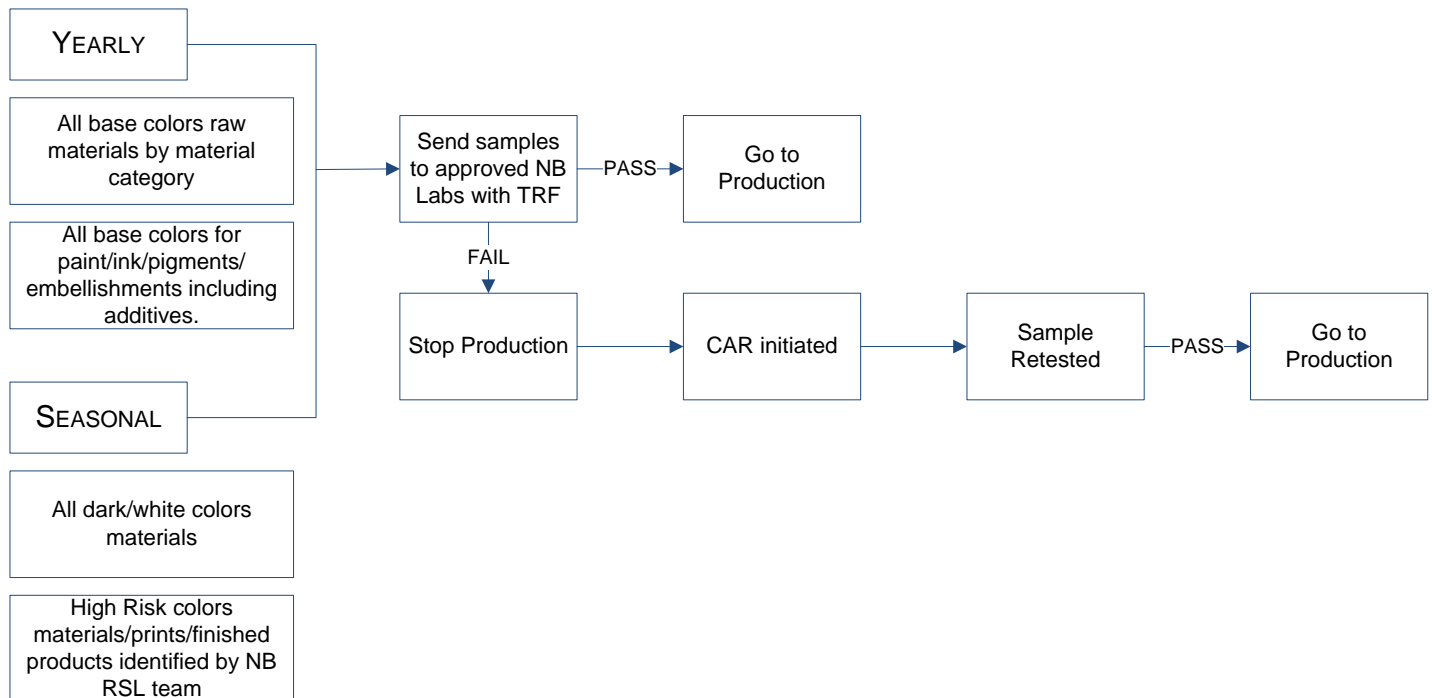
5.2. Equipment RSL Testing Process/ Other Sources

Refers to suppliers not yet audited and approved for RSL compliance.



SEASON: All items from suppliers classified for RSL as Other Sources will need to be tested for RSL compliance in all color ways. Testing must be completed at the approved NB laboratories and to NB standards before full production. Samples sent for testing must be accompanied by an Equipment RSL Test Request Form (Appendix 4). In the event of failures a Corrective Action Request “CAR” (Appendix 7) must be submitted following the CAR process. Suppliers are responsible for the cost of this test. Test reports are valid for one year.

NB reserves the right to conduct random audits during production. Items that do not meet the RSL requirements during these audits will not be allowed to ship. NB will be responsible for payments for these audits except where it is necessitated by a corrective action.



Appendix 1: Certificate of Acknowledgement (COA)

The undersigned hereby acknowledges receipt of the New Balance Restricted Substance Manual ("RSM"). The RSM is intended for the control and monitoring of restricted substances and to certify that the products purchased by New Balance Athletic Shoe, Inc. or any of its affiliates, distributors, licensees or customers (collectively, "NB") or any materials purchased by manufacturers of New Balance products will comply with the RSM, which may be amended from time to time. The Version 9.0 (January 2014) is the official document for all raw materials and finished products from April 1, 2014.

The undersigned agrees to indemnify NB for any loss and damage suffered by NB should restricted substances in excess of the relevant limits be found in any of the materials, components or products supplied by the undersigned. The undersigned confirms that it has been specifically informed by NB about the content of the RSM and hereby agrees to comply with all requirements contained therein.

Please first list your primary business name and address, and then any additional business operations & locations that might do business with NB. You are acknowledging your acceptance of the RSM for all of your business operations by signing this document.

Acknowledged and Agreed:

Primary Business Name: _____

Address: _____

Other Business Name: _____

Address: _____

Other Business Name: _____

Address: _____

Other Business Name: _____

Address: _____

Signature: _____ Date: _____

Name and Title: _____

(Please Print)

Send to: RS Program Manager
New Balance Athletic Shoe Inc.
190 Merrimack Street
Lawrence, MA 01843

Email in PDF format to: Environmental.ProgramOffice-US@newbalance.com

Appendix 2: Footwear – RSL Test Request Form

APPLICANT INFORMATION			
Company Name:		Contact Person	
Address		Telephone No.	
Fax		Email	
BILLING INFORMATION			
Company Name:		Contact Person	
Address		Telephone No.	
Fax		Email	
SAMPLE INFORMATION			
Material Name_Color Key		Quarter/Season	
Material Description		Color(s)	
Material Supplier (if different from the applicant)		Material Type	
Style No.		Country of Origin	
Age Group	<input type="checkbox"/> Adults <input type="checkbox"/> Children (0-12 years old)		
Comment			
TESTING INFORMATION			
Test Sample: <input type="checkbox"/> Composite Test		Sample Type: <input type="checkbox"/> Upper <input type="checkbox"/> Sole <input type="checkbox"/> Others	
Test Category: <input type="checkbox"/> Quarterly Test <input type="checkbox"/> Random Audit Test <input type="checkbox"/> CAR Test <input type="checkbox"/> Supplier Internal <input type="checkbox"/> CPSIA <input type="checkbox"/> REACH <input type="checkbox"/> Whole Shoe RSL Test			
Test Group (Please select the material type)	Test Request	Sample Size Requirement (Min.)	
<input type="checkbox"/> Leather	<input type="checkbox"/> All Core Tests Or Selected Tests: <input type="checkbox"/> Azo Dyes <input type="checkbox"/> AP & APEO <input type="checkbox"/> Chromium (VI) <input type="checkbox"/> Carcinogenic Dyes <input type="checkbox"/> Disperse Dyes <input type="checkbox"/> Extractable Heavy Metals <input type="checkbox"/> Formaldehyde <input type="checkbox"/> Heavy Metals for packaging <input type="checkbox"/> Nickel Release <input type="checkbox"/> Organotin <input type="checkbox"/> PAH <input type="checkbox"/> Phthalates <input type="checkbox"/> PCP/TeCP <input type="checkbox"/> PFCs (PFOS/PFOA/APFO) <input type="checkbox"/> PVC Screening <input type="checkbox"/> Total Heavy Metals <input type="checkbox"/> VOC <input type="checkbox"/> N-Nitrosamines <input type="checkbox"/> Flame Retardants	20-30 g/ 2 pieces A4	
<input type="checkbox"/> Coated Leather		20-30 g/ 3 pieces A4	
<input type="checkbox"/> Synthetic Leather (PU)		20-30 g/ 3 pieces A4	
<input type="checkbox"/> Polymer (EVA, TPU, Rubber, Foam, Latex, Thermo sole, etc.)		20-30 g/ 3 pieces A4	
<input type="checkbox"/> Natural Textile		30 g/ 100ml	
<input type="checkbox"/> Synthetic Textile		30 g/ 100ml	
<input type="checkbox"/> Blending Textile		10 g/ 5 pieces	
<input type="checkbox"/> Ink,paint, pigment and print		20 g/ 2 pieces A4	
<input type="checkbox"/> Chemicals (Primer, Cement, shoe cream etc.)		10 g/ 2 pieces A4	
<input type="checkbox"/> Metal		20-30 g/ 3 pieces A4	
<input type="checkbox"/> Paperboard		Adult: 2 pairs of shoes + Raw materials; Children: 3 pairs of shoes + Raw materials.	
<input type="checkbox"/> Packaging Material			
<input type="checkbox"/> Material Package			
<input type="checkbox"/> Full Shoe			
<input type="checkbox"/> Others, please specify the material type: _____		<input type="checkbox"/> Others, please specify requested tests: _____	
Sample Preparation Guidelines: <ul style="list-style-type: none"> Collect production quality sample Each sample must fulfill the minimum sample size requirement Place individual sample in plastic bag with secure tie Label the NB MAT NO. on the sample Fill out the NB Test Request Form completely, including NB_MAT NO. Each sample must be sent together with this TRF to the RSL designated Lab. 			
Service Required:	<input type="checkbox"/> Regular (5 working days) <input type="checkbox"/> Express (Surcharge: 40%) (3 working days) <input type="checkbox"/> Super-express (Surcharge 100 – 150%) (1 working day)		
SUPPLIER SIGNATURE AND COMPANY STAMP:			
DATE:			

Appendix 3: Apparel – RSL Test Request Form

APPLICANT INFORMATION			
Company Name:		Contact Person	
Address		Telephone No.	
Fax		Email	
BILLING INFORMATION			
Company Name:		Contact Person	
Address		Telephone No.	
Fax		Email	
SAMPLE INFORMATION			
Description		Quarter/Season	
Name_Color ID		Color(s)	
Composition		Article No.	
Country of Origin		Factory & Contact	
Age Group	<input type="checkbox"/> Adults <input type="checkbox"/> Children (0-12 years old)		
Comment			
TESTING INFORMATION			
Test Sample: <input type="checkbox"/> Composite Test			
Test Category: <input type="checkbox"/> Quarterly/Seasonal Test <input type="checkbox"/> Random Audit Test <input type="checkbox"/> CAR Test <input type="checkbox"/> Supplier Internal <input type="checkbox"/> CPSIA <input type="checkbox"/> REACH <input type="checkbox"/> Finished Product RSL Test			
Test Group (Please select the material Type)	Test Request	Sample Size Requirement (Min.)	
<input type="checkbox"/> Leather	<input type="checkbox"/> All Core Tests Or Selected Tests: <input type="checkbox"/> Azo Dyes <input type="checkbox"/> AP & APEO <input type="checkbox"/> Chromium (VI) <input type="checkbox"/> Carcinogenic Dyes <input type="checkbox"/> Disperse Dyes <input type="checkbox"/> Extractable Heavy Metals <input type="checkbox"/> Formaldehyde <input type="checkbox"/> Heavy Metals for packaging <input type="checkbox"/> Nickel Release <input type="checkbox"/> Organotin <input type="checkbox"/> PAH <input type="checkbox"/> Phthalates <input type="checkbox"/> PCP/TeCP <input type="checkbox"/> PFCs (PFOS/PFOA/APFO) <input type="checkbox"/> PVC Screening <input type="checkbox"/> Total Heavy Metals <input type="checkbox"/> VOC <input type="checkbox"/> N-Nitrosamines <input type="checkbox"/> Flame Retardants	20-30 g/ 2 pieces A4	
<input type="checkbox"/> Coated Leather			
<input type="checkbox"/> Synthetic Leather (PU)			
<input type="checkbox"/> Polymer (Rubber, Foam, Latex, Plastic etc.)			
<input type="checkbox"/> Natural Textile		20-30 g/ 3 pieces A4	
<input type="checkbox"/> Synthetic Textile			
<input type="checkbox"/> Blending Textile			
<input type="checkbox"/> Printing/Coating		30 g/ 100ml	
<input type="checkbox"/> Chemicals (Cleanser, Cement, etc.)		30 g/ 100ml	
<input type="checkbox"/> Metals		10 g/ 5 pieces	
<input type="checkbox"/> Packaging Material	10 g/ 2 pieces A4		
<input type="checkbox"/> Finished Products	2 pieces of A4 or 1 set of finished product		
<input type="checkbox"/> Others, please specify the material type: _____	<input type="checkbox"/> Others, please specify requested tests: _____		
Sample Preparation Guidelines: <ul style="list-style-type: none"> Collect production quality sample Each sample must fulfill the minimum sample size requirement Place individual sample in plastic bag with secure tie Label the NB MAT NO. on the sample Fill out the NB Test Request Form completely, including NB_MAT NO. Each sample must be sent together with this TRF to the RSL designated Lab. 			
Service Required:	<input type="checkbox"/> Regular (5 working days)	<input type="checkbox"/> Express (Surcharge: 40%) (3 working days)	<input type="checkbox"/> Super-express (Surcharge 100 – 150%) (1 working day)
SUPPLIER SIGNATURE AND COMPANY STAMP:			
DATE:			

Appendix 4: Equipment – RSL Test Request Form

APPLICANT INFORMATION			
Company Name:		Contact Person	
Address		Telephone No.	
Fax		Email	
BILLING INFORMATION			
Company Name:		Contact Person	
Address		Telephone No.	
Fax		Email	
SAMPLE INFORMATION			
Sample Description		Color(s)	
Ref Code		Material Type	
Material Supplier (if different from the applicant)		Country of Origin	
Product/Style No.		Warrior Purchase PO#	
Sample Order	<input type="checkbox"/> Raw Material <input type="checkbox"/> Finished Product <input type="checkbox"/> Retest (previous report no.)		
Age Group	<input type="checkbox"/> Adults <input type="checkbox"/> Children (0-12 years old)		
Comment			
TESTING INFORMATION			
Test Sample: <input type="checkbox"/> Composite Test			
Test Category: <input type="checkbox"/> Quarterly/Seasonal Test <input type="checkbox"/> Random Audit Test <input type="checkbox"/> CAR Test <input type="checkbox"/> Supplier Internal <input type="checkbox"/> CPSIA <input type="checkbox"/> REACH <input type="checkbox"/> Finished Product RSL Test			
Test Group (Please select the material type)	Test Request	Sample Size Requirement (Min.)	
<input type="checkbox"/> Leather	<input type="checkbox"/> All Core Tests Or Selected Tests: <input type="checkbox"/> Azo Dyes <input type="checkbox"/> AP & APEO <input type="checkbox"/> Chromium (VI) <input type="checkbox"/> Carcinogenic Dyes <input type="checkbox"/> Disperse Dyes <input type="checkbox"/> Extractable Heavy Metals <input type="checkbox"/> Formaldehyde <input type="checkbox"/> Heavy Metals for packaging <input type="checkbox"/> Nickel Release <input type="checkbox"/> Organotin <input type="checkbox"/> PAH <input type="checkbox"/> Phthalates <input type="checkbox"/> PCP/TeCP <input type="checkbox"/> PFCs (PFOS/PFOA) <input type="checkbox"/> PVC Screening <input type="checkbox"/> Total Heavy Metals <input type="checkbox"/> VOC <input type="checkbox"/> N-Nitrosamines <input type="checkbox"/> Flame Retardants	20-30 g/ 2 pieces A4	
<input type="checkbox"/> Coated Leather			
<input type="checkbox"/> Synthetic Leather (PU)			
<input type="checkbox"/> Polymer - Rigid Plastic (PP, ABS, EPP, PE, Carbon Fiber, etc.)			
<input type="checkbox"/> Polymer – Flexible Plastic (Foam, Rubber, TPU, EVA, etc.)		20-30 g/ 3 pieces A4	
<input type="checkbox"/> Natural Textiles			
<input type="checkbox"/> Synthetic Textiles			
<input type="checkbox"/> Blending Textiles		30 g/ 100ml	
<input type="checkbox"/> Ink, paint, pigment and print		30 g/ 100ml	
<input type="checkbox"/> Chemicals (Primer, Cement, Cleanser, etc.)		10 g/ 5 pieces	
<input type="checkbox"/> Metal		20 g/ 2 pieces A4	
<input type="checkbox"/> Wood/Cork		10 g/ 2 pieces A4	
<input type="checkbox"/> Packaging Material		20-30 g/ 3 pieces A4	
<input type="checkbox"/> Finished Product		2 pieces or 1 set of finished products material of small parts	
<input type="checkbox"/> Others, please specify the material type:		<input type="checkbox"/> Others, please specify requested tests: _____	
Sample Preparation Guidelines: <ul style="list-style-type: none"> Collect production quality sample Each sample must fulfill the minimum sample size requirement Place individual sample in plastic bag with secure tie Label the NB MAT NO. on the sample Fill out the NB Test Request Form completely, including NB_MAT NO. Each sample must be sent together with this TRF to the RSL designated Lab. 			
Service Required:	<input type="checkbox"/> Regular (5 working days) <input type="checkbox"/> Express (Surcharge: 40%) (3 working days)	<input type="checkbox"/> Super-express (Surcharge 100 – 150%) (1 working day)	
SUPPLIER SIGNATURE AND COMPANY STAMP: 			
DATE: 			

Appendix 5: Footwear – RSL Corrective Action Request (CAR)

Supplier Name & address:	NB MAT No:	Color tested:	Laboratory tested:	Date tested:
Contact Person Name & email:	Test Report #:	RSL Failure Item:	Failure Number:	NB RSL Limit:
Factory Supplied to & Qty Supplied:		CAS#:	Material/Component/Product description:	

Why is this chemical used in your process?

Were you aware that this chemical was in the RSL?

What is your action plan & timetable to correct this problem (include all actions that will be implemented for production to prevent failures in the future. What is the chemical replacement or production process change to ensure NB RSL compliance)?

Who will be responsible to manage the action plan and communicate back to New Balance?

Signature:

Date:

Submit form for approval to your designated RS contact person.

By signing this document, the supplier acknowledges that their material/component and/or product have been found to be non-compliant with the NB RSL. Also, if approved to retest after implementation of corrective action, the supplier will be responsible for the cost of the audit test to ensure that the corrective action is being sustained.

Appendix 6: Apparel – RSL Corrective Action Request (CAR)

Supplier Name & address:	Ref Code:	Color tested:	Laboratory tested:	Date tested:
Contact Person Name & email:	Test Report #:	RSL Failure Item:	Failure Number:	NB RSL Limit:
Factory Supplied to & Qty Supplied:		CAS#:	Material/Component/Product description:	

Why is this chemical used in your process?

Were you aware that this chemical was in the RSL?

What is your action plan & timetable to correct this problem (include all actions that will be implemented for production to prevent failures in the future. What is the chemical replacement or production process change to ensure NB RSL compliance)?

Who will be responsible to manage the action plan and communicate back to New Balance?

Signature:

Date:

Submit form for approval to your designated RS contact person.

By signing this document, the supplier acknowledges that their material/component and/or product have been found to be non-compliant with the NB RSL. Also, if approved to retest after implementation of corrective action, the supplier will be responsible for the cost of the audit test to ensure that the corrective action is being sustained.

Appendix 7: Equipment – RSL Corrective Action Request (CAR)

Supplier Name & address:	Ref Code:	Color tested:	Laboratory tested:	Date tested:
Contact Person Name & email:	Test Report #:	RSL Failure Item:	Failure Number:	NB RSL Limit:
Factory Supplied to & Qty Supplied:		CAS#:	Material/Component/Product description:	

Why is this chemical used in your process?

Were you aware that this chemical was in the RSL?

What is your action plan & timetable to correct this problem (include all actions that will be implemented for production to prevent failures in the future. What is the chemical replacement or production process change to ensure NB RSL compliance)?

Who will be responsible to manage the action plan and communicate back to New Balance?

Signature:

Date:

Submit form for approval to your designated RS contact person.

By signing this document, the supplier acknowledges that their material/component and/or product have been found to be non-compliant with the NB RSL. Also, if approved to retest after implementation of corrective action, the supplier will be responsible for the cost of the audit test to ensure that the corrective action is being sustained.

